

# Direct definition of the formal power series $\mathbb{K}$ -algebra

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We use notations as follows:

For sets  $A$  and  $B$ , let

$A \cup B := \{x \mid x \in A \text{ or } x \in B\}$  (the union)

$A \cap B := \{x \mid x \in A \text{ and } x \in B\}$  (the intersection)

$A^1 := A, A^2 := A \times A, A^3 := A \times A \times A = A^2 \times A, \dots$

$A \setminus B := \{x \mid x \in A \text{ and } x \notin B\}$  (the set difference)

$A \times B := \{(a, b) \mid a \in A, b \in B\}$  (the Cartesian product)

If  $A \cap B = \emptyset$ , let  $A \coprod B$  mean  $A \cup B$  (the disjoint union (the direct union)).

As for sets of (some) numbers, we use the following notations

$\mathbb{N} := \{1, 2, 3, \dots\}$  (the set of natural numbers)

$\mathbb{Z} := \{0, \pm 1, \pm 2, \pm 3, \dots\}$  (the set of integers)

$\mathbb{Z}_{\geq 0} := \{z \in \mathbb{Z} \mid z \geq 0\} = \mathbb{N} \coprod \{0\}$  (the set of non-negative integers)

$\mathbb{Q} := \{\frac{m}{n} \mid m, n \in \mathbb{Z}, n \neq 0\}$  (the set of rational numbers)

$\mathbb{R} := \{\lim_{n \rightarrow \infty} a_n \mid a_n \in \mathbb{Q} (n \in \mathbb{N}) \text{ and } \lim_{n \rightarrow \infty} a_n \text{ converges}\}$  (the set of rational numbers)

$\mathbb{R} := \{\lim_{n \rightarrow \infty} a_n \mid a_n \in \mathbb{Q} (n \in \mathbb{N}) \text{ and } \lim_{n \rightarrow \infty} a_n \text{ converges}\}$

$\mathbb{C} := \{a + ib \mid a, b \in \mathbb{R}\}$  (the set of complex numbers, where  $i = \sqrt{-1}$ )

We also use the following notations. For  $x, y \in \mathbb{R}$ , let  $J_{x,y} := \{n \in \mathbb{Z} \mid x \leq n \leq y\}$ .

For  $x \in \mathbb{R}$ , let  $J_{x,\infty} := \{n \in \mathbb{Z} \mid n \geq x\}$  and  $J_{-\infty,x} := \{n \in \mathbb{Z} \mid n \leq x\}$ .

## 1 Elementary proof of the associative law of $A[[x]]$

For a set  $A$ , let

$$A^{\mathbb{Z}_{\geq 0}} := \{(a_k)_{k=0}^{\infty} = (a_0, a_1, a_2, \dots) \mid a_k \in A (k \in \mathbb{Z}_{\geq 0})\}$$

(the set of infinite sequences in  $A$  whose first element is called the 0-th one).

**Definition 1.1.** Let  $\mathbb{K}$  be a non-empty set. We call  $\mathbb{K}$  *field* if the following maps  $+$  and  $\cdot$  are given and the following axioms ( $\mathbb{K}1$ )-( $\mathbb{K}10$ ) are fulfilled, where  $+$  and

$\cdot$  are called the sum and the multiplication respectively.

$$\begin{aligned} + : \mathbb{K} \times \mathbb{K} &\rightarrow \mathbb{K}, (a, b) \mapsto a + b \\ \cdot : \mathbb{K} \times \mathbb{K} &\rightarrow \mathbb{K}, (a, b) \mapsto ab \end{aligned}$$

- (K1)  $\forall a, \forall b, \forall c \in \mathbb{K}, (a + b) + c = a + (b + c)$
- (K2)  $\forall a, \forall b \in \mathbb{K}, a + b = b + a$
- (K3)  $\exists 0 \in \mathbb{K}, \forall a \in \mathbb{K}, a + 0 = a$
- (K4)  $\forall a \in \mathbb{K}, \exists -a \in \mathbb{K}, a + (-a) = 0$
- (K5)  $\forall a, \forall b, \forall c \in \mathbb{K}, (ab)c = a(bc)$
- (K6)  $\forall a, \forall b \in \mathbb{K}, ab = ba$
- (K7)  $\forall a, \forall b, \forall c \in \mathbb{K}, a(b + c) = ab + ac$
- (K8)  $\exists 1 \in \mathbb{K}, \forall a \in \mathbb{K}, 1a = a$
- (K9)  $\forall a \in \mathbb{K} \setminus \{0\}, \exists a^{-1} \in \mathbb{K}, aa^{-1} = 1$
- (K10)  $1 \neq 0$

**Definition 1.2.** Let  $\mathbb{K}$  be a field. Let  $V$  be a non-empty set. We say that  $V$  is a linear space over  $\mathbb{K}$  if the following maps  $+$  and  $\cdot$  are given and the following axioms (V1)-(V8) are fulfilled, where  $+$  and  $\cdot$  are called the sum and the scalar-product respectively.

$$\begin{aligned} + : V \times V &\rightarrow V, (x, y) \mapsto x + y \\ \cdot : \mathbb{K} \times V &\rightarrow V, (a, x) \mapsto ax \end{aligned}$$

- (V1)  $\forall x, \forall y \in V, x + y = y + x$
- (V2)  $\forall x, \forall y, \forall z \in V, (x + y) + z = x + (y + z)$
- (V3)  $\exists 0 \in V, \forall x \in V, x + 0 = x$
- (V4)  $\forall x \in V, \exists -x \in V, x + (-x) = 0$
- (V5)  $\forall a \in \mathbb{K}, \forall x, \forall y \in V, a(x + y) = ax + ay$
- (V6)  $\forall a, \forall b \in \mathbb{K}, \forall x \in V, (a + b)x = ax + bx$
- (V7)  $\forall a, \forall b \in \mathbb{K}, \forall x \in V, (ab)x = a(bx)$
- (V8)  $\forall x \in V, 1x = x$  ( $1 = 1_{\mathbb{K}} \in \mathbb{K}$  is a unit of  $\mathbb{K}$ .)

**Definition 1.3.** Let  $\mathbb{K}$  be a field.

(1) Let  $A$  be a linear space over  $\mathbb{K}$ . Let  $+$  :  $A \times A \rightarrow A, (a, b) \mapsto a + b$  and  $\cdot$  :  $\mathbb{K} \times A \rightarrow A, (\lambda, a) \mapsto \lambda a$  be the sum and the scalar-product respectively. We say that  $A$  is an *associative  $\mathbb{K}$ -algebra* if the map  $\cdot$  :  $A \times A \rightarrow A, (a, b) \mapsto ab$ , called the multiplication, is given and fulfills the following axioms (A1)-(A5), where the symbol  $\cdot$  is the same as that of the scalar-product.

- (A1)  $\forall a, \forall b, \forall c \in A, (ab)c = a(bc)$
- (A2)  $\forall a, \forall b, \forall c \in A, a(b + c) = ab + ac$
- (A3)  $\forall a, \forall b, \forall c \in A, (a + b)c = ac + bc$
- (A4)  $\exists 1 = 1_A \in A, \forall a \in A, 1a = a1 = a$

$$(A5) \quad \forall \lambda \in \mathbb{K}, \forall a, \forall b \in A, \lambda(ab) = (\lambda a)b = a(\lambda b)$$

(2) Let  $A$  be an associative  $\mathbb{K}$ -algebra. Let  $B$  be a subset of  $A$ . We say that  $B$  is a  $\mathbb{K}$ -subalgebra of  $A$  if  $B$  is a linear  $\mathbb{K}$ -subspace of  $A$ ,  $1 \in B$ , and  $uv \in B$  for all  $u, v \in B$ .

(3) Let  $A$  and  $B$  be associative  $\mathbb{K}$ -algebras. Let  $f : A \rightarrow B$  be a  $\mathbb{K}$ -linear homomorphism. We say that  $f$  is a  $\mathbb{K}$ -algebra homomorphism if  $f(1_A) = 1_B$  and  $f(uv) = f(u)f(v)$  ( $u, v \in A$ ). For a  $\mathbb{K}$ -linear homomorphism  $f : A \rightarrow B$  is a  $\mathbb{K}$ -linear homomorphism, if  $f$  is bijective, we say that  $f$  is a  $\mathbb{K}$ -algebra isomorphism. Notice that for a  $\mathbb{K}$ -algebra isomorphism  $f : A \rightarrow B$ ,  $f^{-1} : B \rightarrow A$  is also a  $\mathbb{K}$ -algebra isomorphism.

(4) Let  $f : A \rightarrow B$  be a  $\mathbb{K}$ -algebra homomorphism. Let  $\text{Im}(f) := \{f(u) | u \in A\}$ . We call  $\text{Im}(f)$  the *image of  $f$* . Notice that  $\text{Im}(f)$  is the  $\mathbb{K}$ -subalgebra of  $A$ . If  $f$  is injective, then we often identify  $A$  with  $\text{Im}(f)$ . Whenever identify  $A$  and  $\text{Im}(f)$ , we identify  $u$  and  $f(u)$  for  $u \in A$ .

**Definition 1.4.** Let  $\mathbb{K}$  be a field. Let  $A$  be an associative  $\mathbb{K}$ -algebra. For  $m, n \in \mathbb{Z}$  and  $a_k \in A$  ( $k \in J_{m,n}$ ), let

$$\sum_{k=m}^n a_k := \begin{cases} 0 & \text{if } m > n, \\ a_m & \text{if } m = n, \\ (\sum_{k=m}^{n-1} a_k) + a_n & \text{if } m < n. \end{cases}$$

We can easily see the following Lemma 1.5.

**Lemma 1.5.** Let  $\mathbb{K}$  be a field. Let  $A$  be an associative  $\mathbb{K}$ -algebra. Let  $n \in \mathbb{N}$  and  $a_i, b_i \in A$  ( $i \in J_{1,n}$ ).

- (1) For  $m \in J_{1,n}$ , we have  $(\sum_{i=1}^m a_i) + (\sum_{j=m+1}^n a_j) = \sum_{k=1}^n a_k$ .
- (2) For a bijection  $\sigma : J_{1,n} \rightarrow J_{1,n}$ , we have  $\sum_{k=1}^n a_{\sigma(k)} = \sum_{i=1}^n a_i$ .
- (3) We have  $\sum_{i=1}^n (a_i + b_i) = (\sum_{j=1}^n a_j) + (\sum_{k=1}^n b_k)$ .
- (4) For  $c \in A$ , we have  $c \cdot (\sum_{i=1}^n a_i) = \sum_{i=1}^n ca_i$  and  $(\sum_{i=1}^n a_i) \cdot c = \sum_{i=1}^n a_i c$ .

The following Lemma 1.6 is the most essential in this note.

**Lemma 1.6.** Let  $\mathbb{K}$  be a field. Let  $A$  be an associative  $\mathbb{K}$ -algebra.

$$\text{Let } a_i, b_i, c_i \in A \text{ (} i \in \mathbb{Z}_{\geq 0} \text{)}$$

For  $n \in \mathbb{Z}_{\geq 0}$ , we have the equation  $(\#)_n$  below.

$$(\#)_n \quad \sum_{k=0}^n a_k \left( \sum_{r=0}^{n-k} b_r c_{n-k-r} \right) = \sum_{s=0}^n \left( \sum_{t=0}^s a_t b_{s-t} \right) c_{n-s}$$

*Proof.* If  $n = 0$ , we have  $a_0(b_0c_0) = (a_0b_0)c_0$  by (K5) of Definition 1.1 whence  $(\#)_0$  holds.

Assume  $n \geq 1$ . Let  $c'_i := c_{i+1}$  ( $i \in \mathbb{Z}_{\geq 0}$ ). Then we have:

$$\begin{aligned}
& \sum_{k=0}^n a_k \left( \sum_{r=0}^{n-k} b_r c_{n-k-r} \right) \\
&= \left( \sum_{k=0}^{n-1} a_k \left( \sum_{r=0}^{n-k} b_r c_{n-k-r} \right) \right) + a_n(b_0c_0) \quad (\text{by the definition of } \Sigma) \\
&= \left( \sum_{k=0}^{n-1} a_k \left( \sum_{r=0}^{n-k-1} b_r c_{n-k-r} \right) + b_{n-k}c_0 \right) + a_n(b_0c_0) \quad (\text{by the definition of } \Sigma) \\
&= \left( \sum_{k=0}^{n-1} \left( a_k \left( \sum_{r=0}^{n-k-1} b_r c_{n-k-r} \right) + a_k(b_{n-k}c_0) \right) \right) + a_n(b_0c_0) \\
&\quad (\text{By (K7) of Definition 1.1}) \\
&= \left( \left( \sum_{k=0}^{n-1} \left( a_k \left( \sum_{r=0}^{n-k-1} b_r c_{n-k-r} \right) \right) \right) + \left( \sum_{k=0}^{n-1} a_k(b_{n-k}c_0) \right) \right) + a_n(b_0c_0) \\
&\quad (\because \sum_{i=0}^{n-1} (\alpha_i + \beta_i) = (\sum_{i=0}^{n-1} \alpha_i) + (\sum_{i=0}^{n-1} \beta_i)) \\
&= \left( \sum_{k=0}^{n-1} \left( a_k \left( \sum_{r=0}^{n-k-1} b_r c_{n-k-r} \right) \right) \right) + \left( \sum_{k=0}^{n-1} a_k(b_{n-k}c_0) \right) + a_n(b_0c_0) \\
&\quad (\text{by (K1) of Definition 1.1}) \\
&= \left( \sum_{k=0}^{n-1} \left( a_k \left( \sum_{r=0}^{n-k-1} b_r c_{n-k-r} \right) \right) \right) + \left( \sum_{k=0}^n a_k(b_{n-k}c_0) \right) \quad (\text{by the definition of } \Sigma) \\
&= \left( \sum_{k=0}^{n-1} \left( a_k \left( \sum_{r=0}^{(n-1)-k} b_r c_{((n-1)-k-r)+1} \right) \right) \right) + \left( \sum_{k=0}^n a_k(b_{n-k}c_0) \right) \\
&= \left( \sum_{k=0}^{n-1} \left( a_k \left( \sum_{r=0}^{(n-1)-k} b_r c'_{(n-1)-k-r} \right) \right) \right) + \left( \sum_{k=0}^n a_k(b_{n-k}c_0) \right) \quad (\text{by the definition of } c'_i) \\
&= \left( \sum_{k=0}^{n-1} \left( a_k \left( \sum_{r=0}^{(n-1)-k} b_r c'_{(n-1)-k-r} \right) \right) \right) + \left( \sum_{k=0}^n (a_k b_{n-k}) c_0 \right) \quad (\text{By (K5) of Definition 1.1}) \\
&= \left( \sum_{s=0}^{n-1} \left( \sum_{t=0}^s a_t b_{s-t} \right) c'_{(n-1)-s} \right) + \left( \sum_{k=0}^n (a_k b_{n-k}) c_0 \right) \quad (\text{by induction, i.e., } (\#)_{n-1}) \\
&= \left( \sum_{s=0}^{n-1} \left( \sum_{t=0}^s a_t b_{s-t} \right) c_{n-s} \right) + \left( \sum_{k=0}^n (a_k b_{n-k}) c_0 \right) \quad (\text{by the definition of } c'_i) \\
&= \sum_{s=0}^n \left( \sum_{t=0}^s a_t b_{s-t} \right) c_{n-s} \quad (\text{by the definition of } \Sigma)
\end{aligned}$$

□

By Lemma 1.6, we can easily obtain the following Theorem 1.7.

**Theorem 1.7.** *Let  $\mathbb{K}$  be a field, and let  $A$  be a  $\mathbb{K}$ -algebra. Then  $A^{\mathbb{Z}_{\geq 0}}$  is regarded as the  $\mathbb{K}$ -algebra by:*

$$\begin{aligned}
(\text{Sum}) \quad & (a_i)_{i=0}^\infty + (b_i)_{i=0}^\infty = (a_i + b_i)_{i=0}^\infty \quad ((a_i)_{i=0}^\infty, (b_i)_{i=0}^\infty \in A^{\mathbb{Z}_{\geq 0}}), \\
(\text{Scalar product}) \quad & \lambda(a_i)_{i=0}^\infty = (\lambda a_i)_{i=0}^\infty \quad (\lambda \in \mathbb{K}, (a_i)_{i=0}^\infty \in A^{\mathbb{Z}_{\geq 0}}), \\
(\text{Product}) \quad & (a_i)_{i=0}^\infty \cdot (b_i)_{i=0}^\infty = \left( \sum_{j=0}^i a_j b_{i-j} \right)_{i=0}^\infty \quad ((a_i)_{i=0}^\infty, (b_i)_{i=0}^\infty \in A^{\mathbb{Z}_{\geq 0}}).
\end{aligned}$$

**Definition 1.8.** Keep the notation of Lemma 1.6.

(1) We write  $A[[x]] := A^{\mathbb{Z}_{\geq 0}}$ . Let  $f(x) = \sum_{i=0}^\infty a_i x^i \in A[[x]]$  ( $a_i \in A$ ) mean the element  $(a_i)_{i=0}^\infty \in A^{\mathbb{Z}_{\geq 0}} = A[[x]]$ . We call such  $f(x)$  the *formal power series* in  $A$ . We call  $A[[x]]$  the *formal power series  $\mathbb{K}$ -algebra in  $A$* .

(2) Define  $\mathbb{K}$ -algebra homomorphism  $\iota : A \rightarrow A[[x]]$  by  $\iota(u) := \sum_{i=0}^\infty (\delta_{i0} u) x^i$ . We identify  $A$  and  $\text{Im}(\iota)$ . We identify  $u$  and  $\iota(u)$  for  $u \in A$ . For  $n \in \mathbb{Z}_{\geq 0}$ , let  $x^n := \sum_{i=0}^\infty (\delta_{in}) x^i$ . Note  $x^0 = 1_A = 1_{A[[x]]}$ . Let  $x := x^1$ . Note  $x^m x^n = x^{m+n}$ .

$(m, n \in \mathbb{Z}_{\geq 0})$ . Define the  $\mathbb{K}$ -subalgebra  $A[x]$  of  $A[[x]]$  by

$$A[x] := \left\{ \sum_{i=0}^n a_i x^i \mid n \in \mathbb{Z}_{\geq 0}, a_i \in A (i \in J_{0,n}) \right\}.$$

We call an element of  $A[x] \setminus \mathbb{K} \cdot 1$  a *polynomial in  $A$* . We call  $A[x]$  a *polynomial  $\mathbb{K}$ -algebra in  $A$* .