

Boltzmann Equation for  
Non-equilibrium Particles  
&  
Its Application to  
Non-thermal DM Production

Koichi Hamaguchi (Tokyo U. Phys. Dept. & IPMU)

based on,.... KH, T.Moroi, and K.Mukaida, arXiv: 1111.4594 (JHEP1201)

@ Toyama ~~BUR~~ workshop, February 20, 2012  
phenomenology  
and cosmology

Since this is the last talk of today's hard program....

# SUMMARY

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( and BURI is waiting for us,.....)

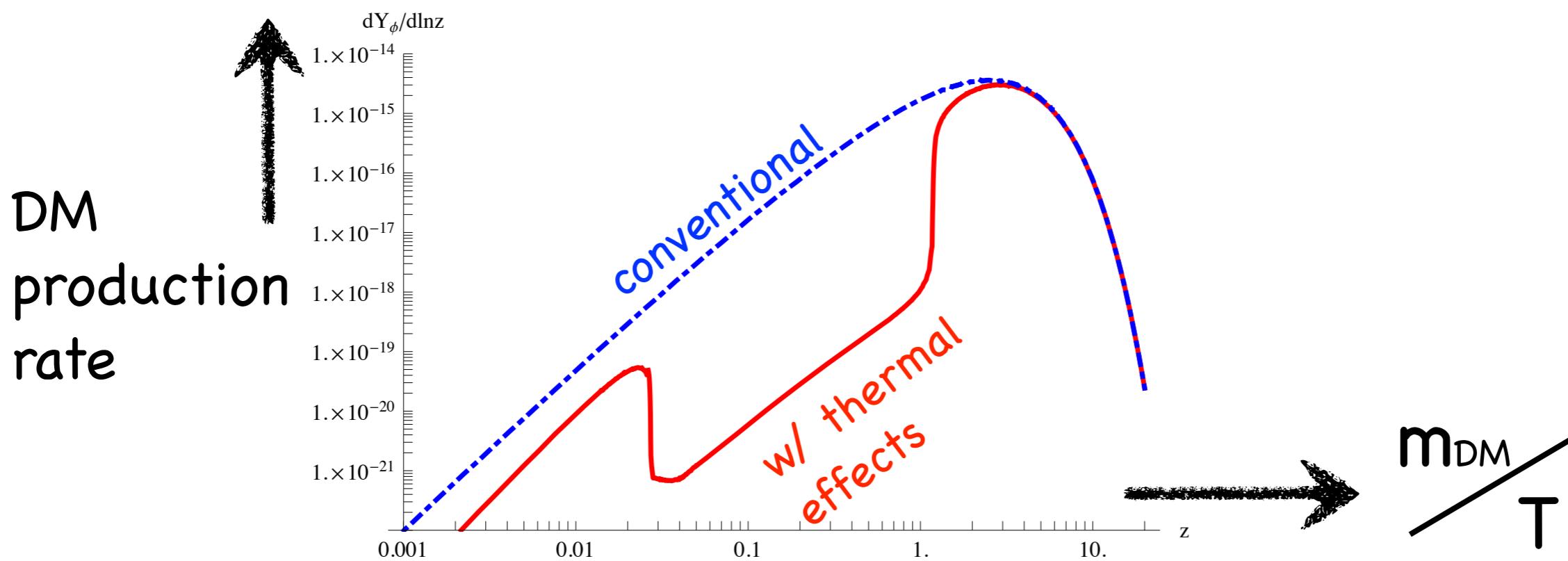
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## SUMMARY

- We have studied the “thermal effects” on a **non-thermal DM** production scenario (“FIMP” scenario)  
(by using Kadanoff-Baym eqs. in closed-time-path formalism)  
which was not taken into account in previous works.
- We found that the “thermal effects” can significantly change the conventional picture, and the resultant DM abundance.



# PLAN

1. motivation

2. setup

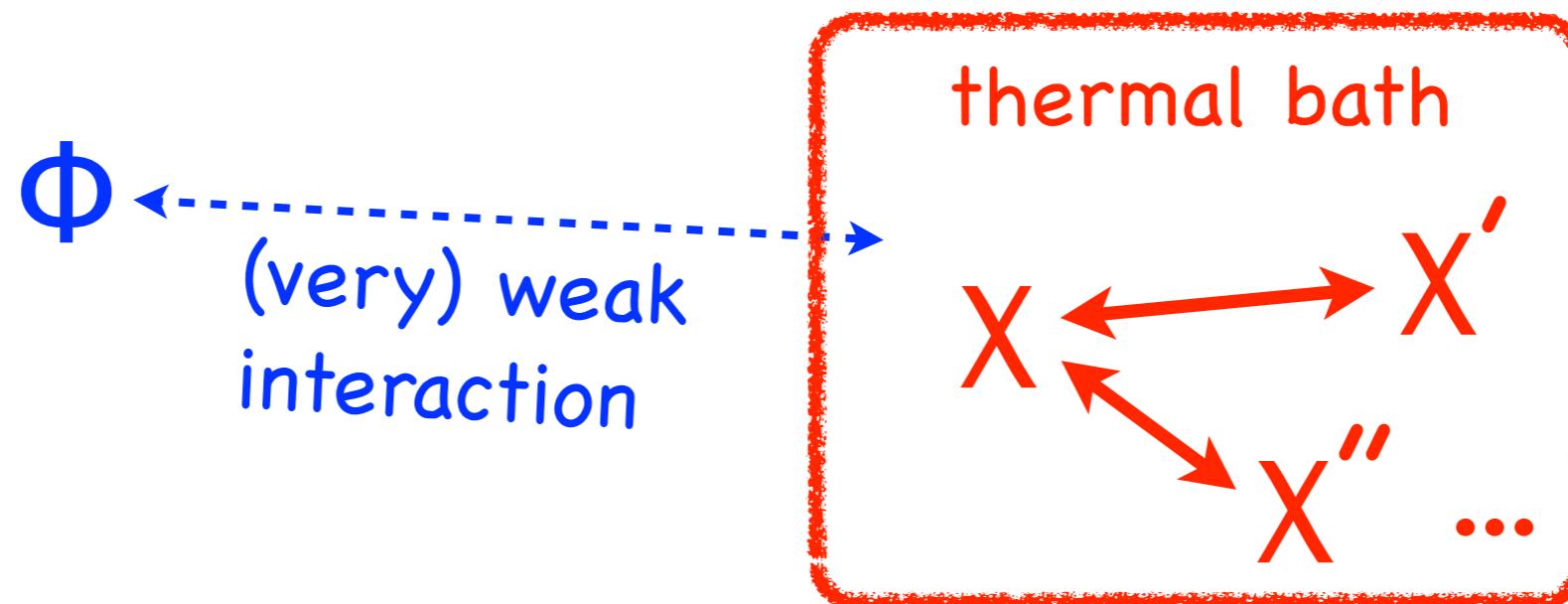
3. thermal effect

4. result

# 1. Motivation

# Motivation (1)

In general, we want to study the following setup:

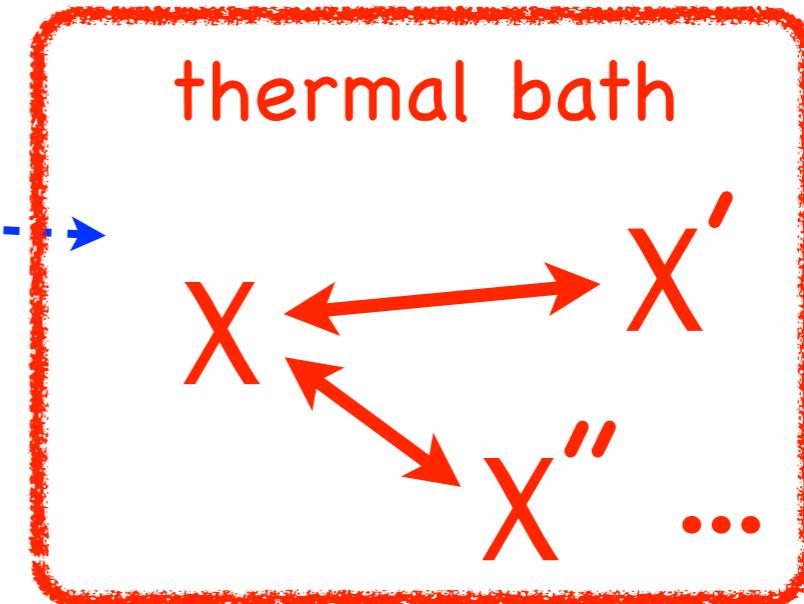


# Motivation (1)

In general, we want to study the following setup:

$\Phi$  ←-----  
(very) weak  
interaction

many examples in cosmology



- inflaton/moduli decay
- dynamics of scalar fields (inflaton/moduli/Affleck-Dine...)
- production of gravitino /axino /(Dirac) R.H.sneutrino DM...<sup>(not mixed)</sup>  
[note: many early works... cf. Refs. of our paper.]

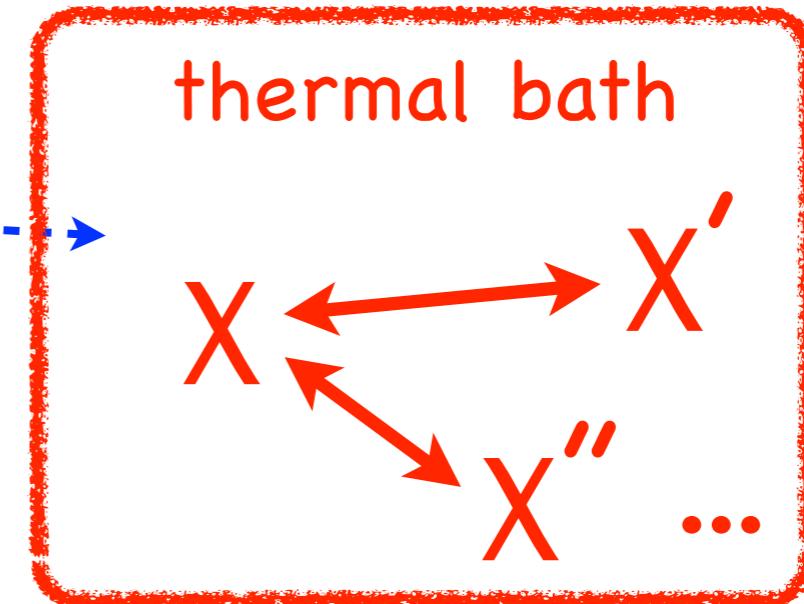
As an example, we study a non-thermal DM production.

Asaka, Ishiwata, Moroi, '05,'06  
Hall, Jedamzik, March-Russell, West, '09

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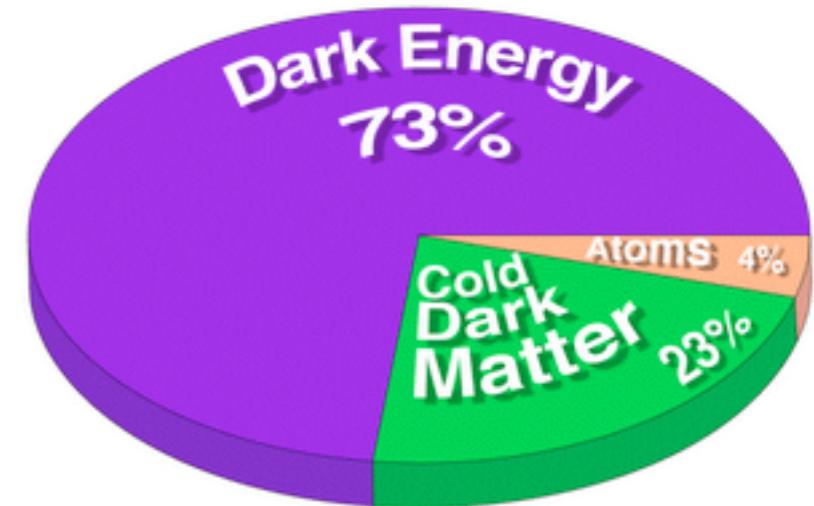


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# Motivation (2)



Dark Matter density

Composition of the Universe Today

$$\Omega_{\text{CDM}} h^2 = 0.1126 \pm 0.0036$$

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## Dark Matter density

Composition of the Universe Today

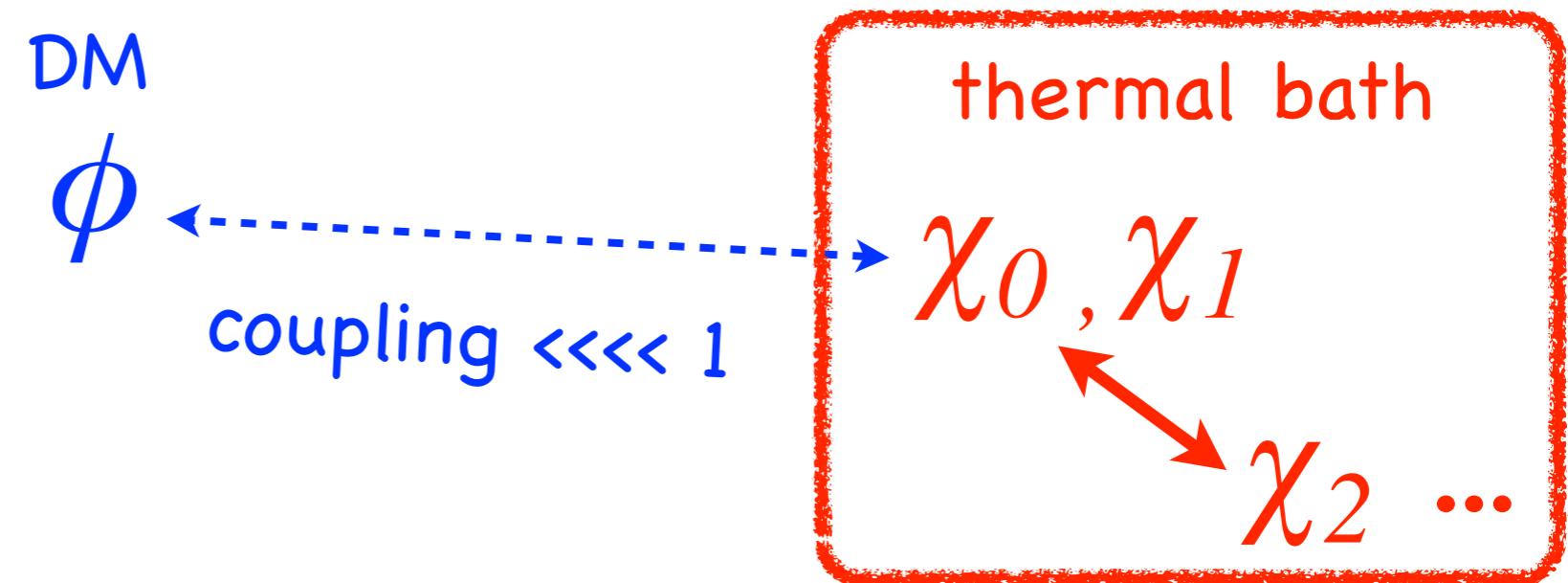
$$\Omega_{\text{CDM}} h^2 = 0.1126 \pm 0.0036$$

measured with an accuracy of  $O(1\%)$ .

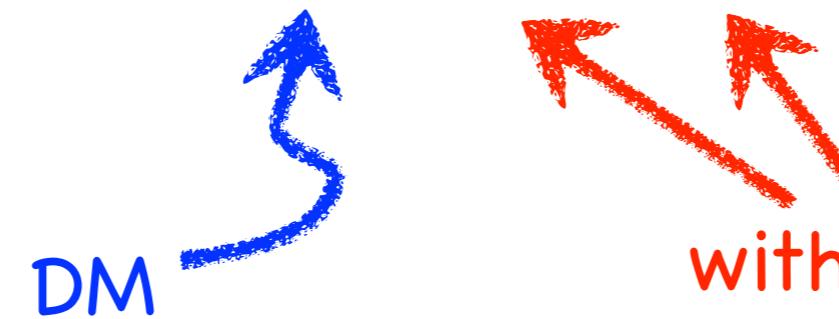
- requiring comparable precision in theoretical calculation (depending on the DM scenario).
- important to include the “thermal effects”, which was not taken into account in the previous studies.

## 2. Setup

# Setup

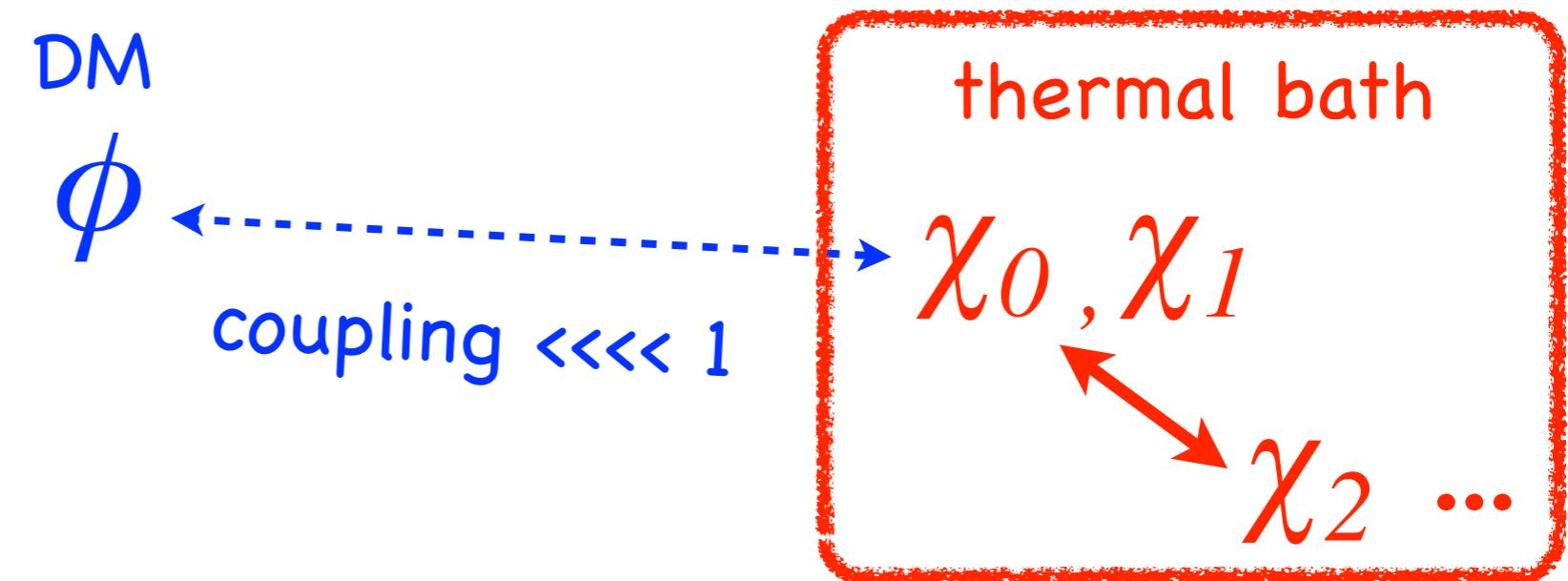


$$L_{int} = \varepsilon \phi \chi_0 \chi_1$$

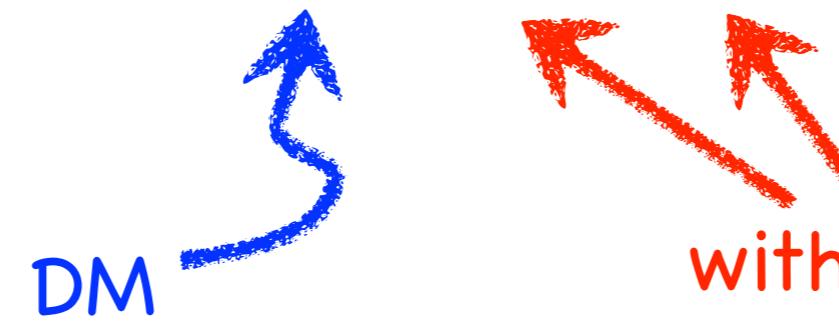


very weakly interacting:  
(out of equilibrium)

# Setup



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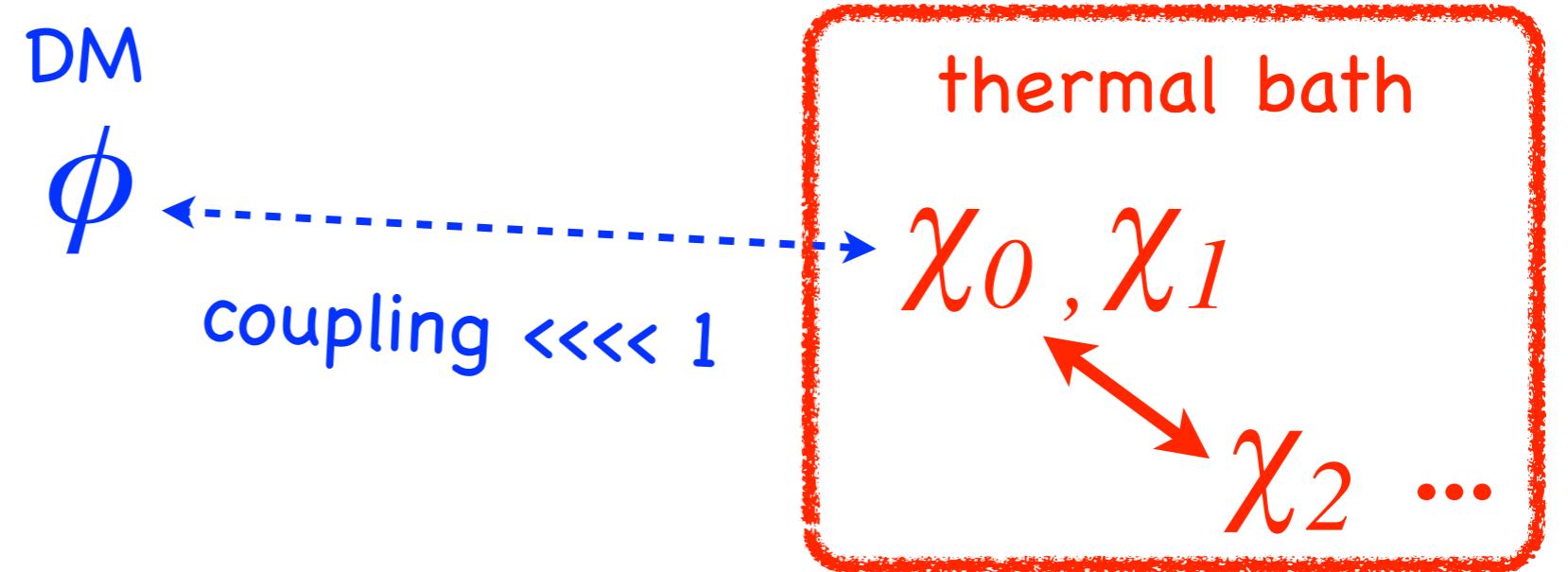
very weakly interacting:  
(out of equilibrium)

- For simplicity, assume these particles are **scalars**.
- Dimensionless **coupling**:  $\varepsilon/m_\phi <<< 1$ . (typically  $\sim 10^{-13}$ )

→ **DM  $\phi$  is never in thermal bath.**

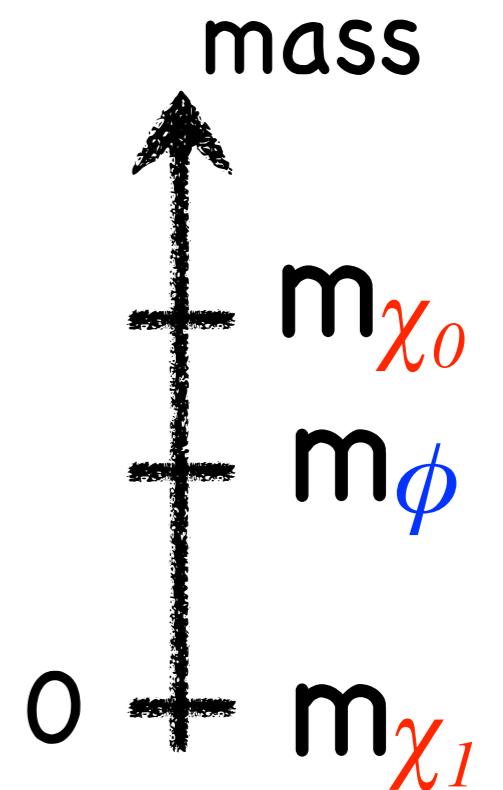
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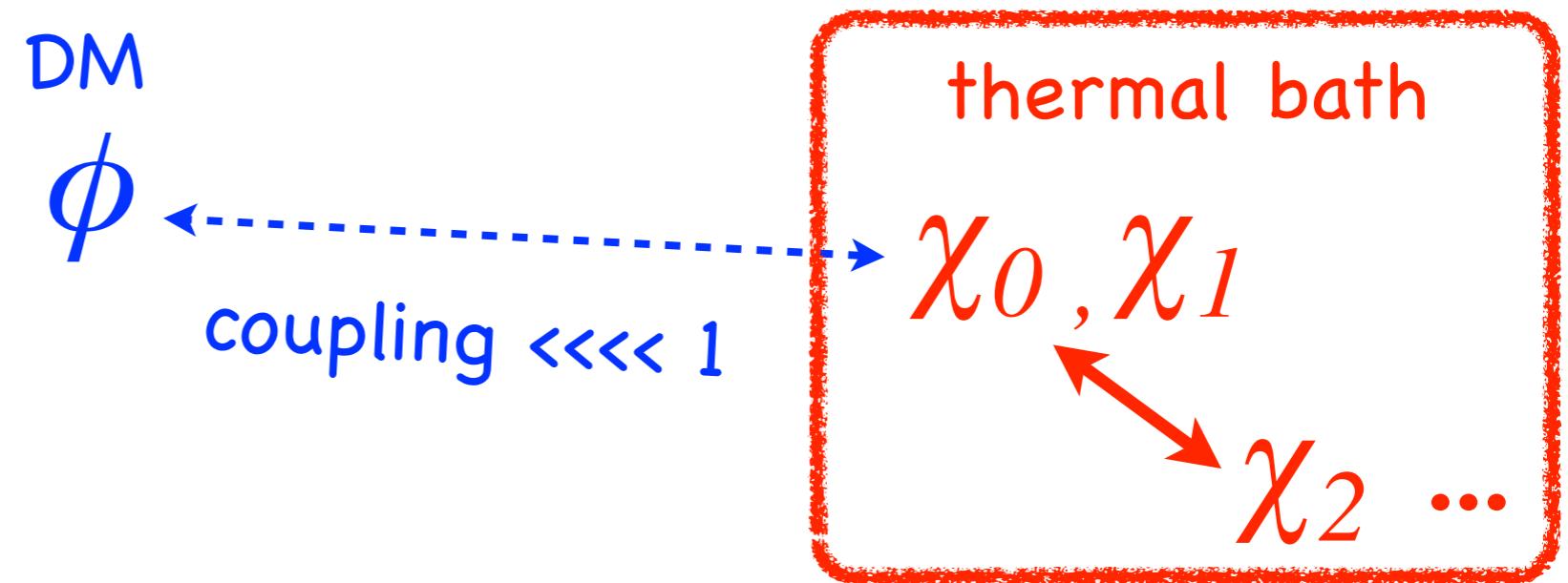


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- Assume a mass hierarchy like this: →

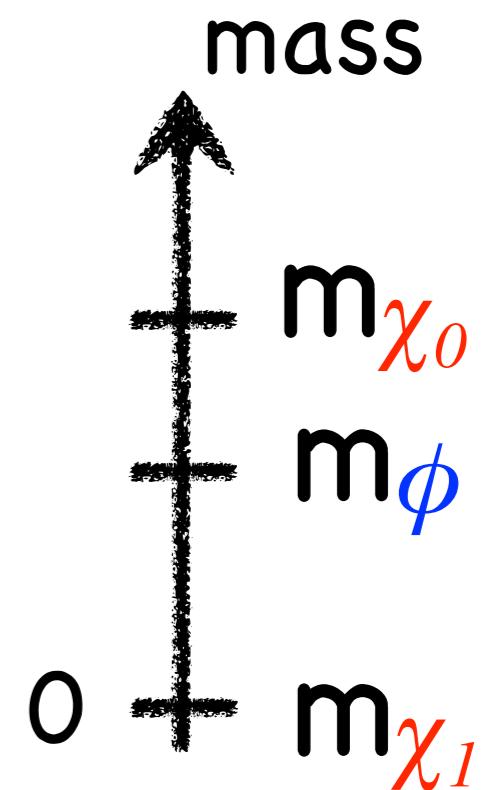


# Setup



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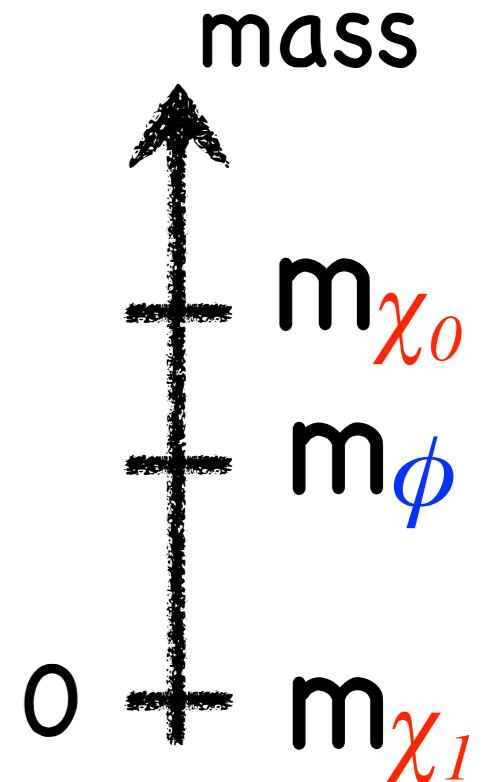


Then, DM  $\phi$  is produced via  $\chi_0$  decay

$$\chi_0 \rightarrow \phi + \chi_1$$

# Setup

DM production:  $\chi_0 \rightarrow \phi + \chi_1$



# Setup

DM production:  $\chi_0 \rightarrow \phi + \chi_1$

Conventional calculation (cf. Kolb-Turner textbook)

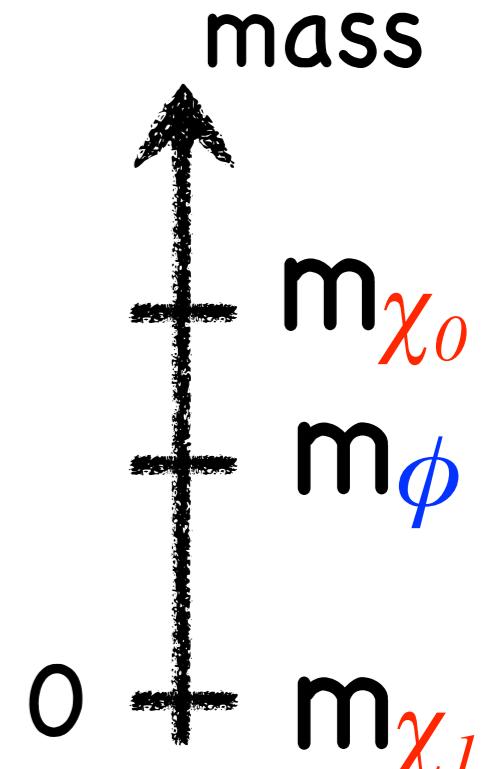
$$\dot{n}_\phi + 3Hn_\phi \simeq \int d\Pi (2\pi)^4 \delta(p_{\text{tot}})$$

$$|M(\chi_0 \rightarrow \phi \chi_1)|^2 f_{\chi_0} (1 + f_{\chi_1}) \cdots$$

where  $d\Pi = \prod_{i=\chi_0, \phi, \chi_1} \deg(i) \frac{d^3 p}{(2\pi)^3 2\omega_{i,p}}$ ;  $f_{\chi_i} = \frac{1}{e^{\omega_{i,p}/T} - 1}$   $\omega_{i,p} = \sqrt{m_i^2 + \mathbf{p}^2}$

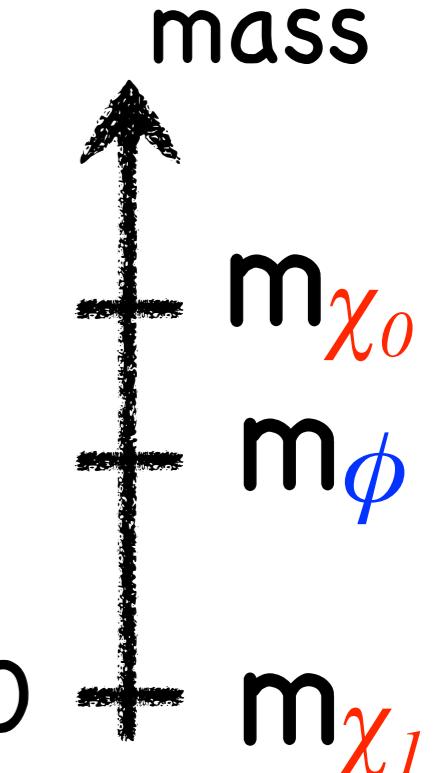
equilibrium distribution

temperature



# Setup

DM production:  $\chi_0 \rightarrow \phi + \chi_1$

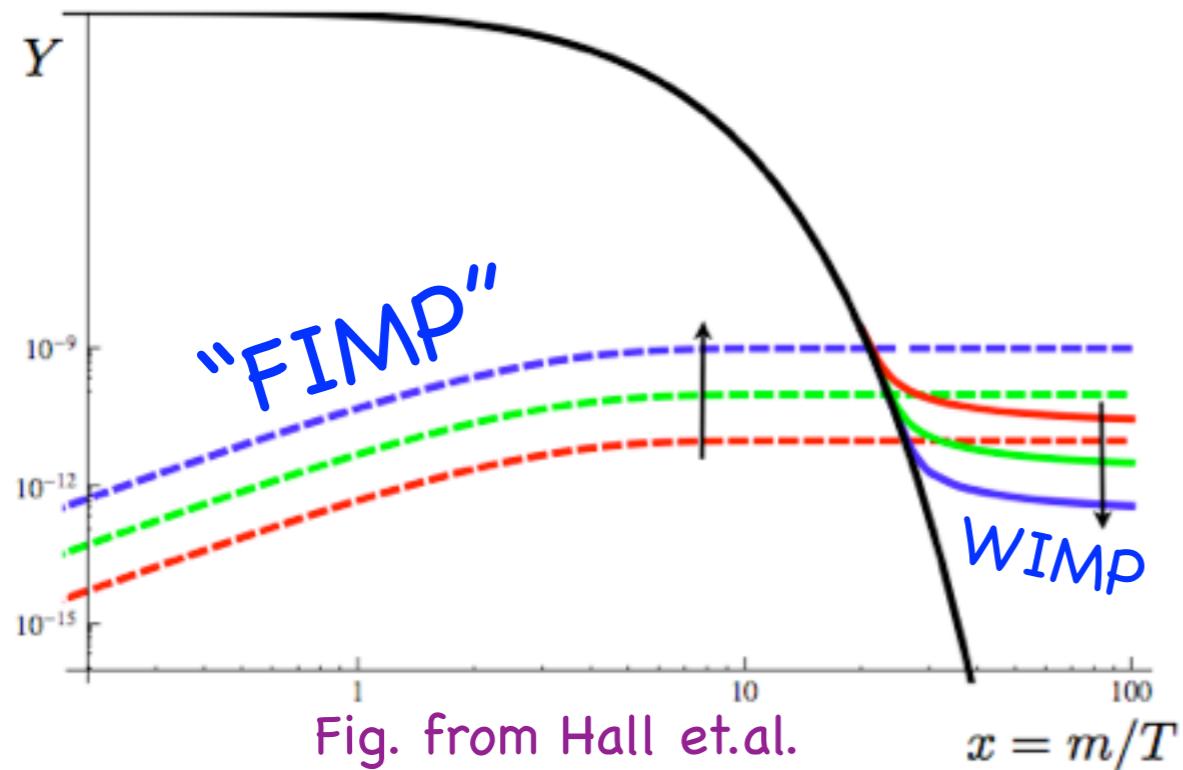


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In this non-thermal DM scenario ("FIMP" scenario), the DM density is insensitive to high T history, as in the case of WIMP.

Asaka, Ishiwata, Moroi, '05, '06  
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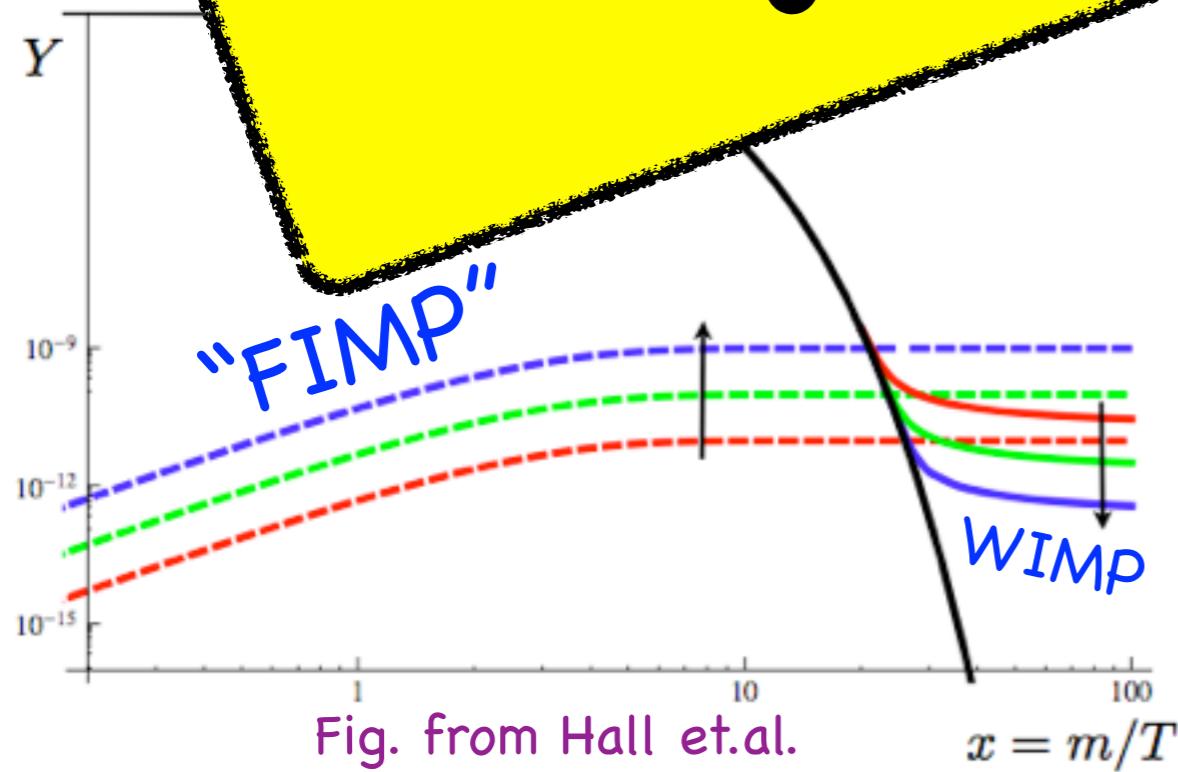
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$$\dot{n}_\phi + 3Hn_\phi \simeq \int d\Pi (2\pi)^4 \delta(p_{\text{tot}})$$

$$|M(x)|$$

Is this naive Boltzmann-equation calculation correct ??

$$e^{-\omega_i p^2 / 2\omega_{i,p}}; f_{\chi_i} = \frac{1}{e^{\omega_{i,p}/T} - 1} \quad \omega_{i,p} = \sqrt{m_i^2 + \mathbf{p}^2}$$



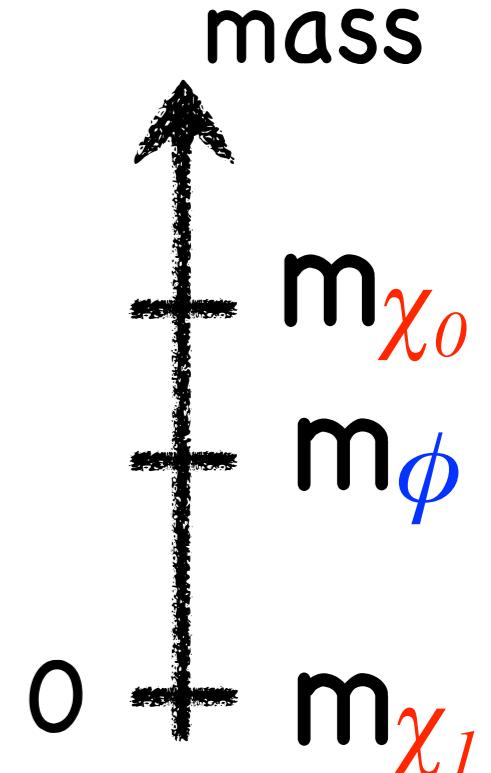
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### **3. thermal effects**

# Thermal Effects

DM production:  $\chi_0 \rightarrow \phi + \chi_1$



At  $T > 0$ , the masses of  $\chi_0$  and  $\chi_1$  are modified ("thermal masses").

$$m_{\chi_i}^{\text{thermal}} = m_{\chi_i} + \delta m_i(T)$$



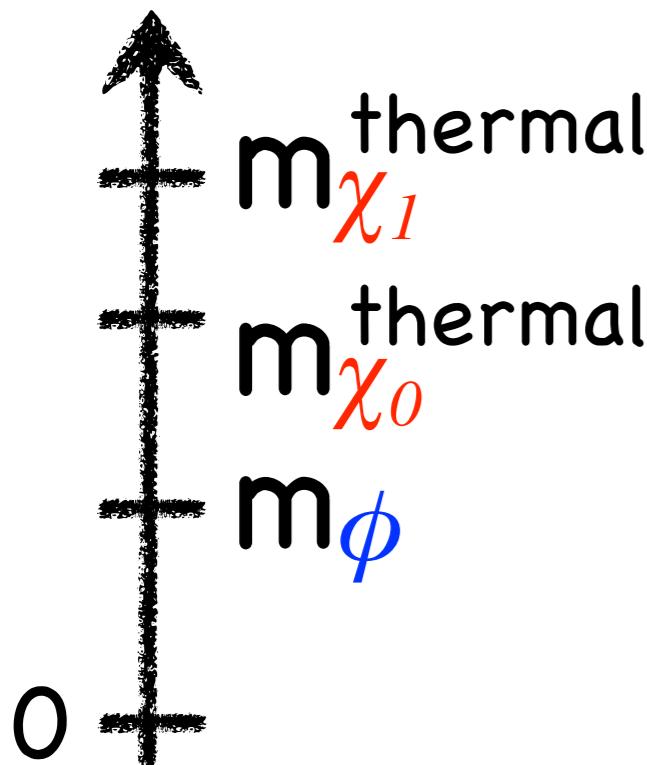
determined by  
the interactions  
of thermal bath

# Thermal Effects

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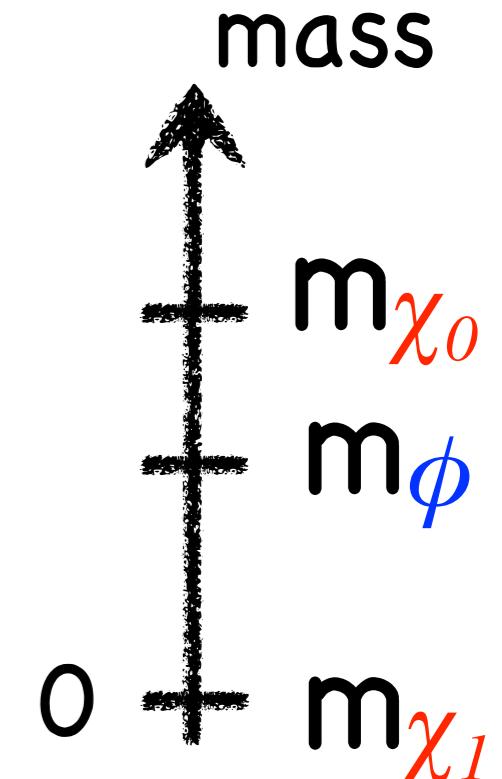
$$m_{\chi_i}^{\text{thermal}} = m_{\chi_i} + \delta m_i(T)$$

thermal mass



The mass hierarchy is changed:

- $\chi_0 \rightarrow \phi + \chi_1$  may be **blocked** at high T.
- even  $\chi_1 \rightarrow \phi + \chi_0$  may occur ?!



**Wait a second.....**

## Conventional calculation

$$\dot{n}_\varphi + 3Hn_\varphi \simeq \int d\Pi (2\pi)^4 \delta(p_{\text{tot}})$$

$$|M(\chi_0 \rightarrow \varphi \chi_1 \dots)|^2 f_{\chi_0} (1 + f_{\chi_1}) \dots$$

where  $d\Pi = \prod_{i=\chi_0, \varphi, \chi_1} \deg(i) \frac{d^3 p}{(2\pi)^3 2\omega_{i,\mathbf{p}}}; \quad f_{\chi_i} = \frac{1}{e^{\omega_{i,\mathbf{p}}/T} - 1} \quad \omega_{i,\mathbf{p}} = \sqrt{m_i^2 + \mathbf{p}^2}$

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*here*      *here*      *mass is here*

Which “mass” should be replaced with “thermal mass” ?

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- A red arrow points from the word "here" to the  $\delta(p_{\text{tot}})$  term in the first equation.
- A red arrow points from the word "here" to the  $|M(\chi_0 \rightarrow \varphi\chi_1 )|^2$  term in the first equation.
- A red arrow points from the word "here" to the  $f_{\chi_0}$  term in the second equation.
- A red arrow points from the word "here" to the  $f_{\chi_1}$  term in the second equation.
- A red arrow points from the word "mass is here" to the  $\omega_{i,p} = \sqrt{m_i^2 + \mathbf{p}^2}$  term in the second equation.

Which “mass” should be replaced with “thermal mass” ?

.... By the way, is it sufficient just to replace the mass ?

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.... After all, what is the appropriate formalism?  
What is the 1st principle?

# formalism

- density matrix:  $\hat{\rho}$
- expectation value:  $\langle \hat{A} \rangle \equiv \text{tr}[\hat{\rho}\hat{A}]$
- We assume  $\hat{\rho} = \hat{\rho}_{\phi,i} \otimes \hat{\rho}_{\chi}$ 
  - initial distribution of  $\Phi$   
(spatially homogeneous)
  - $\hat{\rho}_{\chi} = e^{-\hat{H}_{\chi}/T}$   
**thermal bath with  
a temperature T**
- What we want to know is: **the time evolution of  
the expectation value of  $\Phi$ 's number density operator.**

$$n_{\phi}(t) = \int \frac{d^3k}{(2\pi)^3} \langle \hat{N}_{\mathbf{k}}^{\phi}(t) \rangle \quad \text{where} \quad \hat{N}_{\mathbf{k}}^{\phi}(t) = \frac{1}{V} \frac{1}{2\omega_{\phi,\mathbf{k}}} : \left[ \dot{\hat{\phi}}(t, \mathbf{k}) \dot{\hat{\phi}}(t, -\mathbf{k}) + \omega_{\phi,\mathbf{k}}^2 \hat{\phi}(t, \mathbf{k}) \hat{\phi}(t, -\mathbf{k}) \right] :$$

# formalism ..... Let's skip all the details.....

(See our paper and refs. therein.)

## Strategy:

We want to know

$$n_\phi(t) = \int \frac{d^3k}{(2\pi)^3} \langle \hat{N}_k^\phi(t) \rangle \quad \text{where} \quad \hat{N}_k^\phi(t) = \frac{1}{V} \frac{1}{2\omega_{\phi,k}} : [\dot{\hat{\phi}}(t, \mathbf{k}) \dot{\hat{\phi}}(t, -\mathbf{k}) + \omega_{\phi,k}^2 \hat{\phi}(t, \mathbf{k}) \hat{\phi}(t, -\mathbf{k})] :$$

- want to know 2-point function  $\langle \hat{\phi}(x) \hat{\phi}(y) \rangle = \text{tr}[\hat{\rho} \hat{\phi}(x) \hat{\phi}(y)]$
- Diff. eqs. of  $\langle \hat{\phi}(x) \hat{\phi}(y) \rangle$  can be obtained from Kadanoff-Baym eqs.
- After all, one obtains, at leading order in  $\Phi$ 's coupling,...

$$\dot{n}_\phi + 3Hn_\phi = \int \frac{d^3k}{(2\pi)^3 2\omega_{\phi,k}} \int d^4x e^{i(\omega_{\phi,k}t - \mathbf{k}\cdot\mathbf{x})} \frac{\text{tr} [e^{-H_x/T} \cdot \epsilon \hat{\chi}_0 \hat{\chi}_1(0) \cdot \epsilon \hat{\chi}_0 \hat{\chi}_1(x)]}{\text{tr} [e^{-H_x/T}]}$$

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New Boltzmann equation !!

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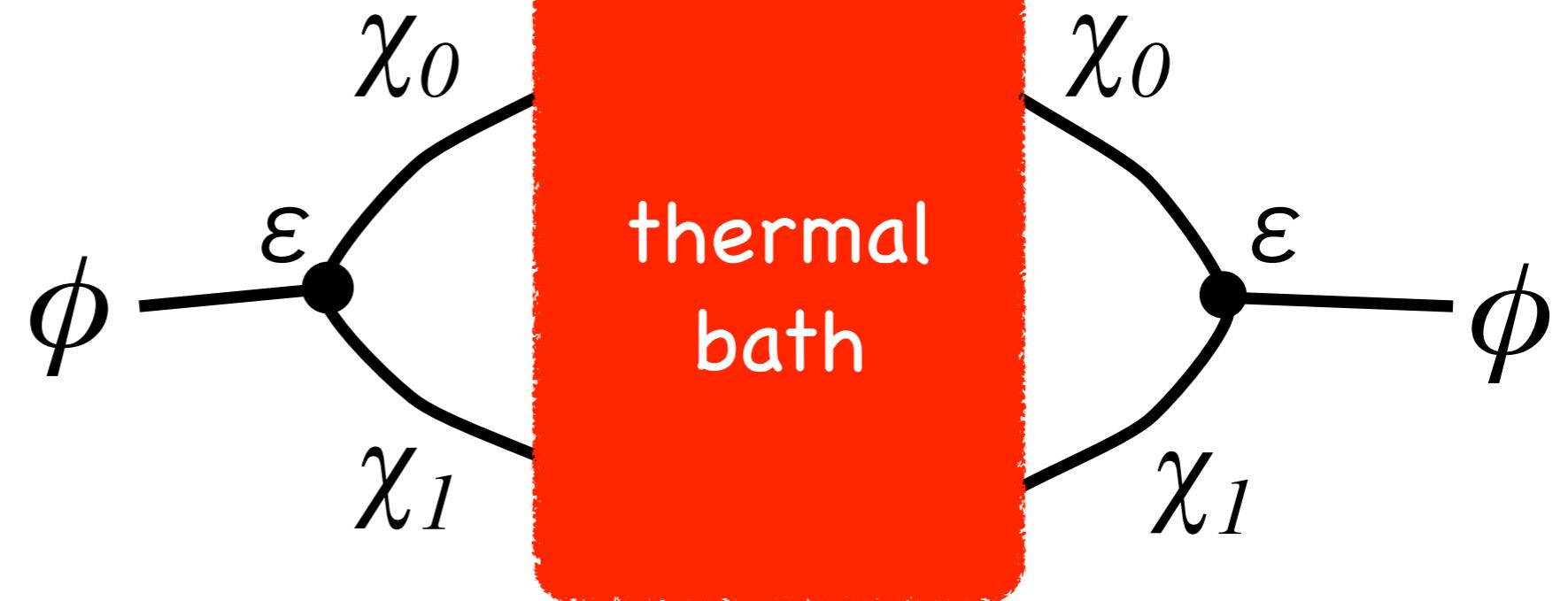
Struc

We've

$n_\phi$

$\rightarrow w$

$\rightarrow Di$



→ After all, one obtains, at leading order in  $\Phi$ 's coupling...

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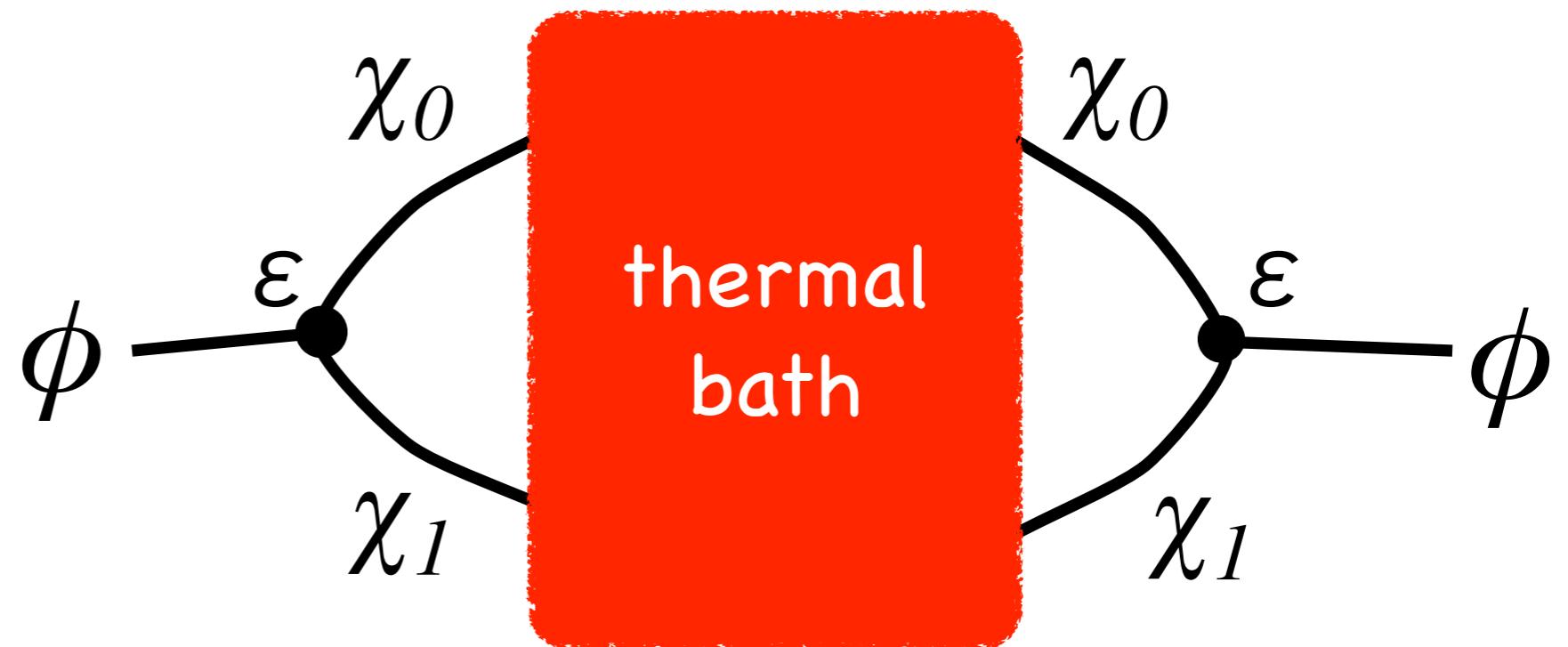
New Boltzmann equation !!

# formalism ..... Let's skip all the details.....

Assume that the dominant interaction is

$$\mathcal{L}_{\text{int}}(\chi) = -\frac{g_{\chi_0}^2}{4!}\chi_0^4 - \frac{g_{\chi_1}^2}{4!}\chi_1^4$$

Then,....



→ After all, one obtains, at leading order in  $\Phi$ 's coupling...

$$\dot{n}_\phi + 3Hn_\phi = \int \frac{d^3k}{(2\pi)^3 2\omega_{\phi,k}} \int d^4x e^{i(\omega_{\phi,k}t - \mathbf{k} \cdot \mathbf{x})} \frac{\text{tr} [e^{-H_X/T} \cdot \epsilon \hat{\chi}_0 \hat{\chi}_1(0) \cdot \epsilon \hat{\chi}_0 \hat{\chi}_1(x)]}{\text{tr} [e^{-H_X/T}]}$$

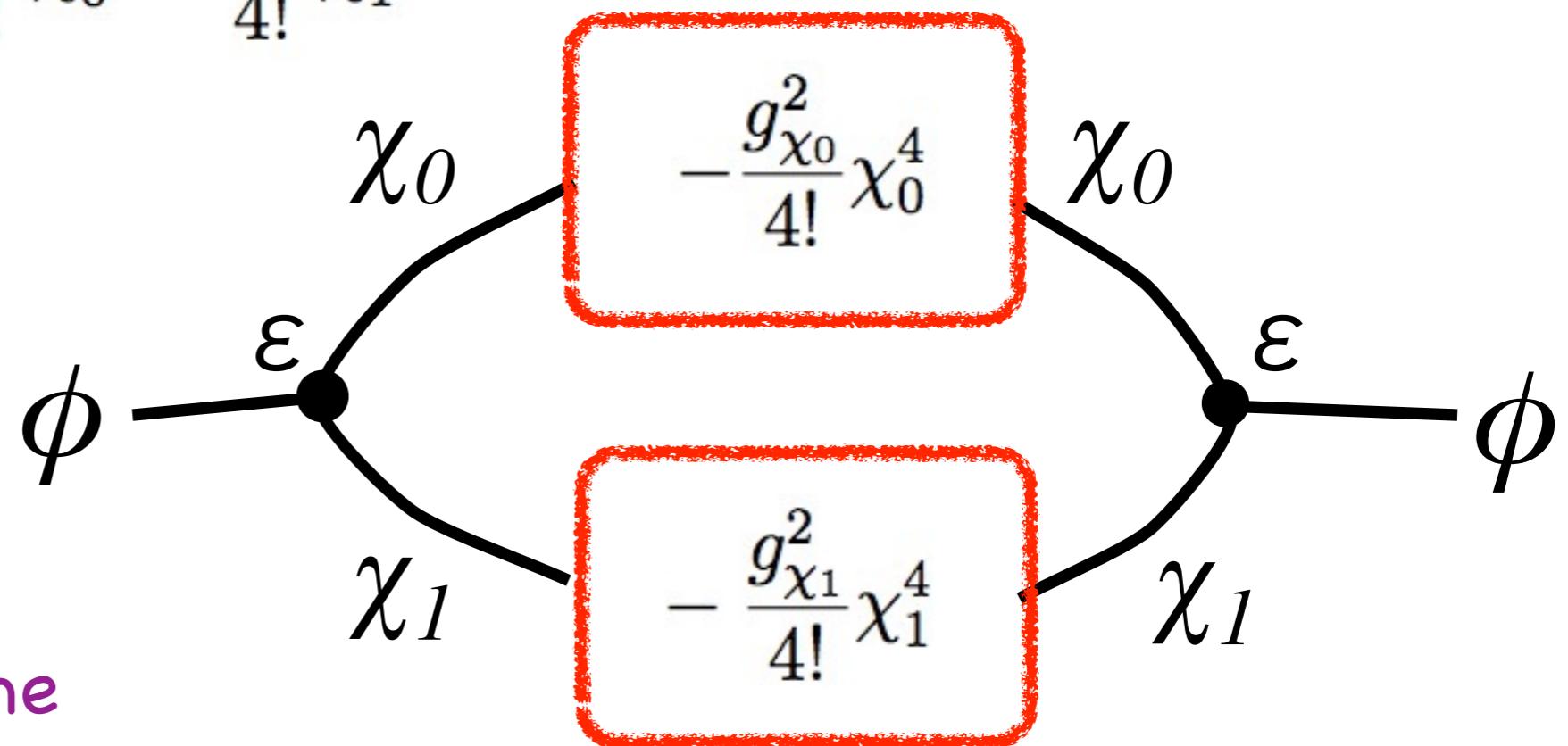
New Boltzmann equation !!

# formalism ..... Let's skip all the details.....

Assume that the dominant interaction is

$$\mathcal{L}_{\text{int}}(\chi) = -\frac{g_{\chi_0}^2}{4!}\chi_0^4 - \frac{g_{\chi_1}^2}{4!}\chi_1^4$$

Then,....



We can use the results of  $\Phi^4$  thermal field theory.

→ After all, one obtains, at leading order in  $\Phi$ 's coupling...

$$\dot{n}_\phi + 3Hn_\phi = \int \frac{d^3k}{(2\pi)^3 2\omega_{\phi,k}} \int d^4x e^{i(\omega_{\phi,k}t - \mathbf{k}\cdot\mathbf{x})} \frac{\text{tr} [e^{-H_X/T} \cdot \epsilon \hat{\chi}_0 \hat{\chi}_1(0) \cdot \epsilon \hat{\chi}_0 \hat{\chi}_1(x)]}{\text{tr} [e^{-H_X/T}]}$$

New Boltzmann equation !!

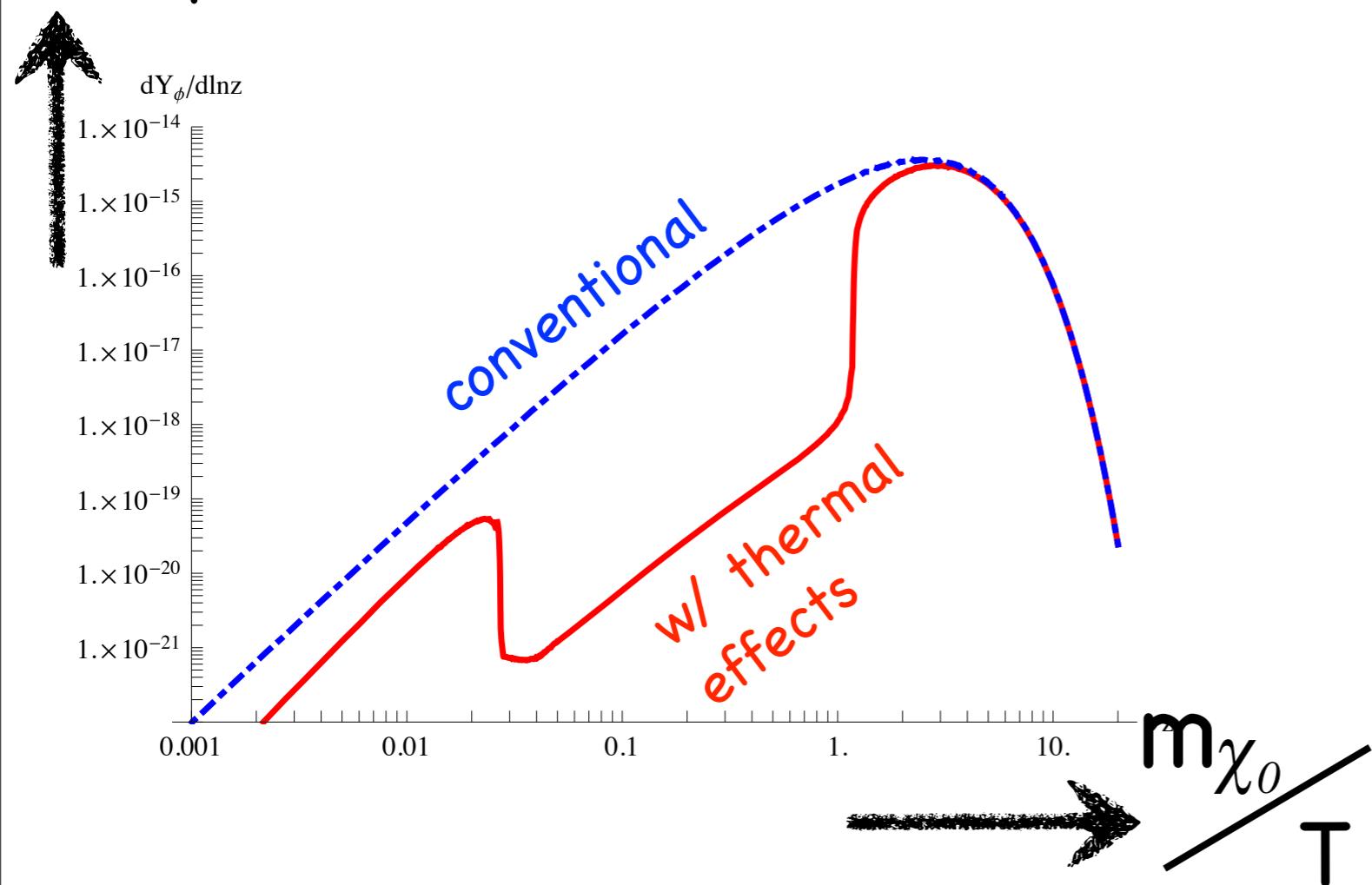
# **3. Result**

$$\varepsilon/m_\phi = 10^{-13}, \ g_{\chi_0} = 0.1, \ g_{\chi_1} = 0.3$$

$$m_{\chi_0} : m_\phi : m_{\chi_1} = 1 : 0.95 : 0$$

$$\left( \mathcal{L}_{\text{int}} = \varepsilon \phi \chi_0 \chi_1 - \frac{g_{\chi_0}^2}{4!} \chi_0^4 - \frac{g_{\chi_1}^2}{4!} \chi_1^4 \right)$$

## DM production rate

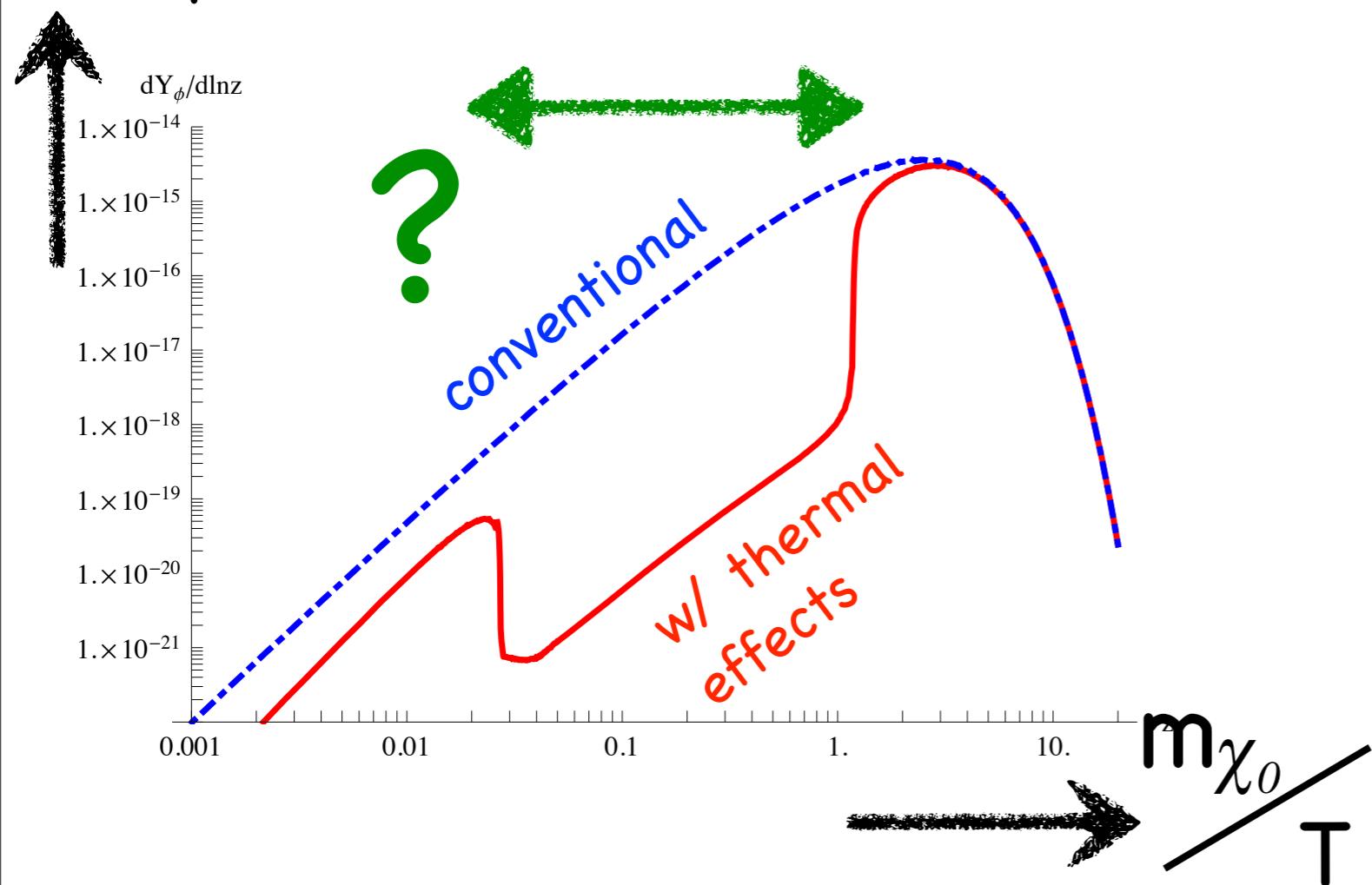


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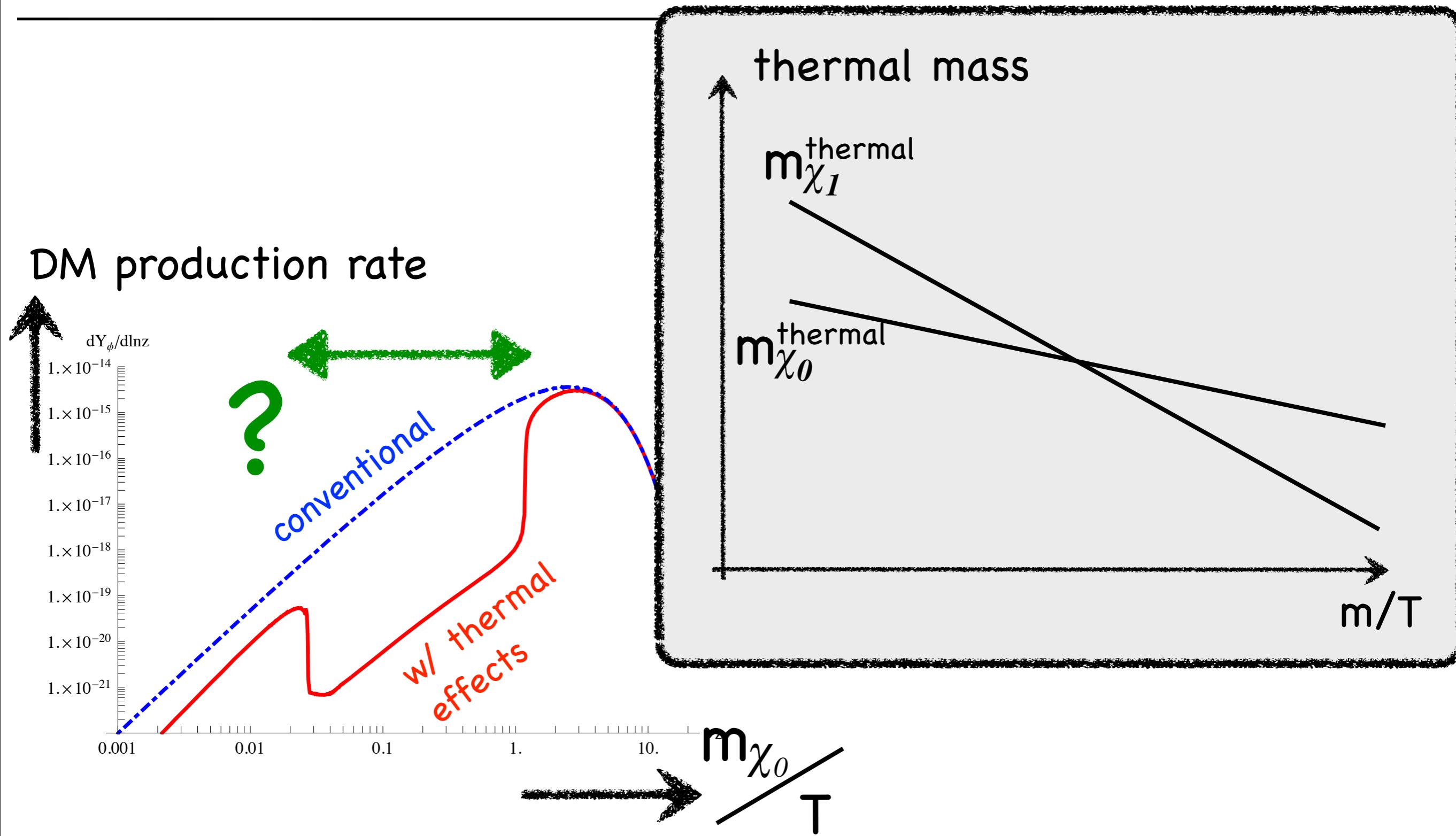
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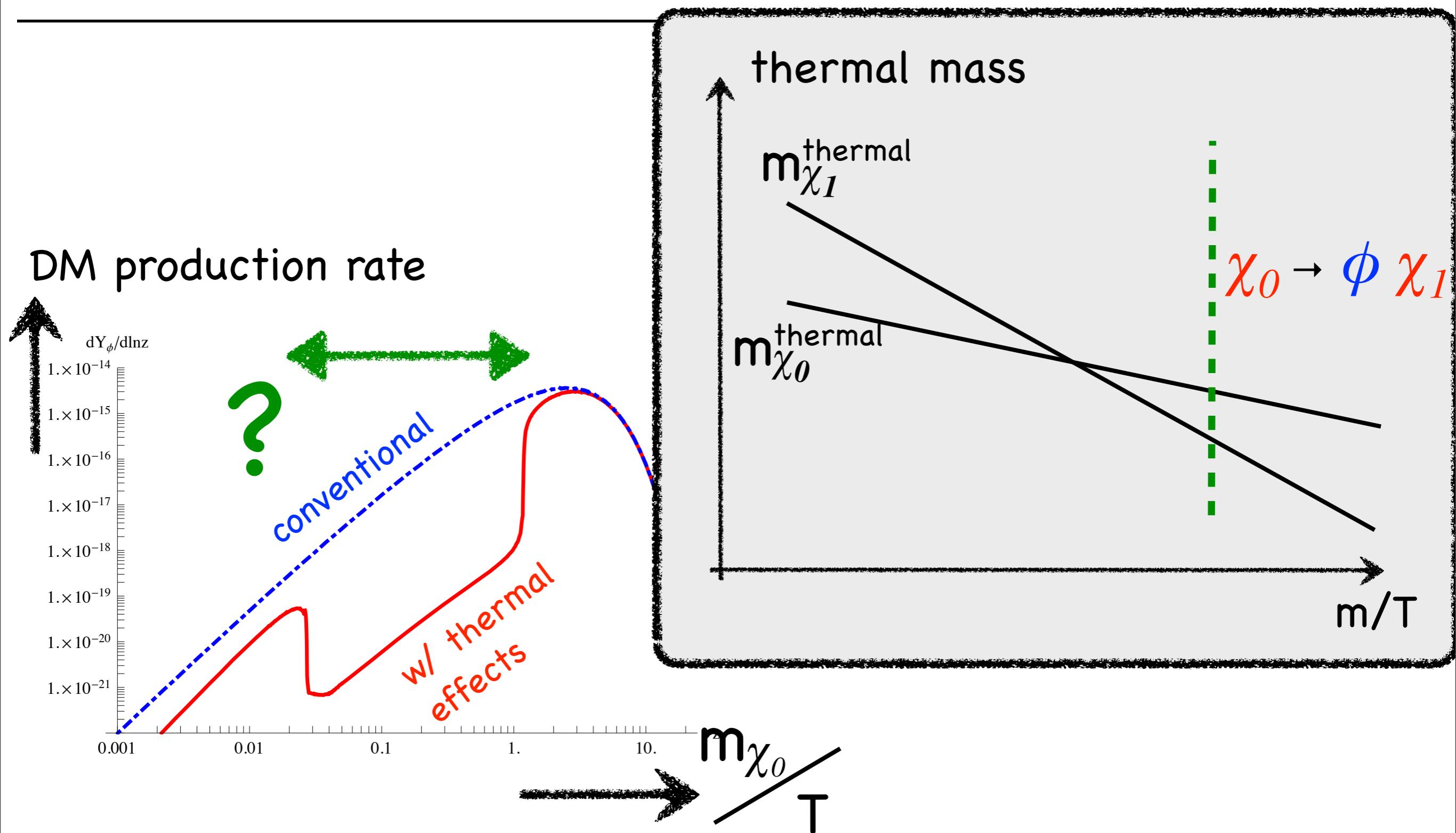
$$\left( \mathcal{L}_{\text{int}} = \varepsilon \phi \chi_0 \chi_1 - \frac{g_{\chi_0}^2}{4!} \chi_0^4 - \frac{g_{\chi_1}^2}{4!} \chi_1^4 \right)$$



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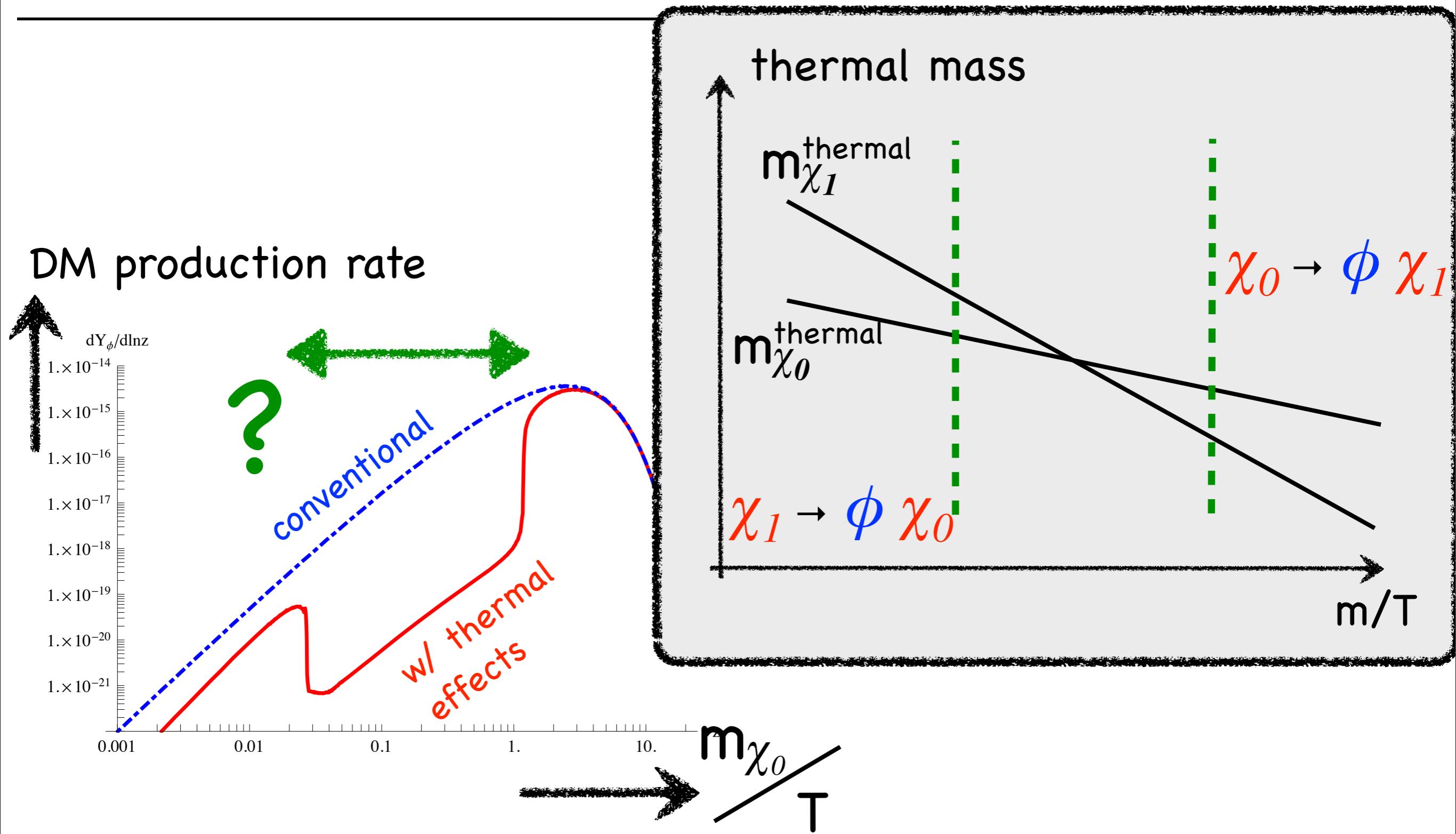
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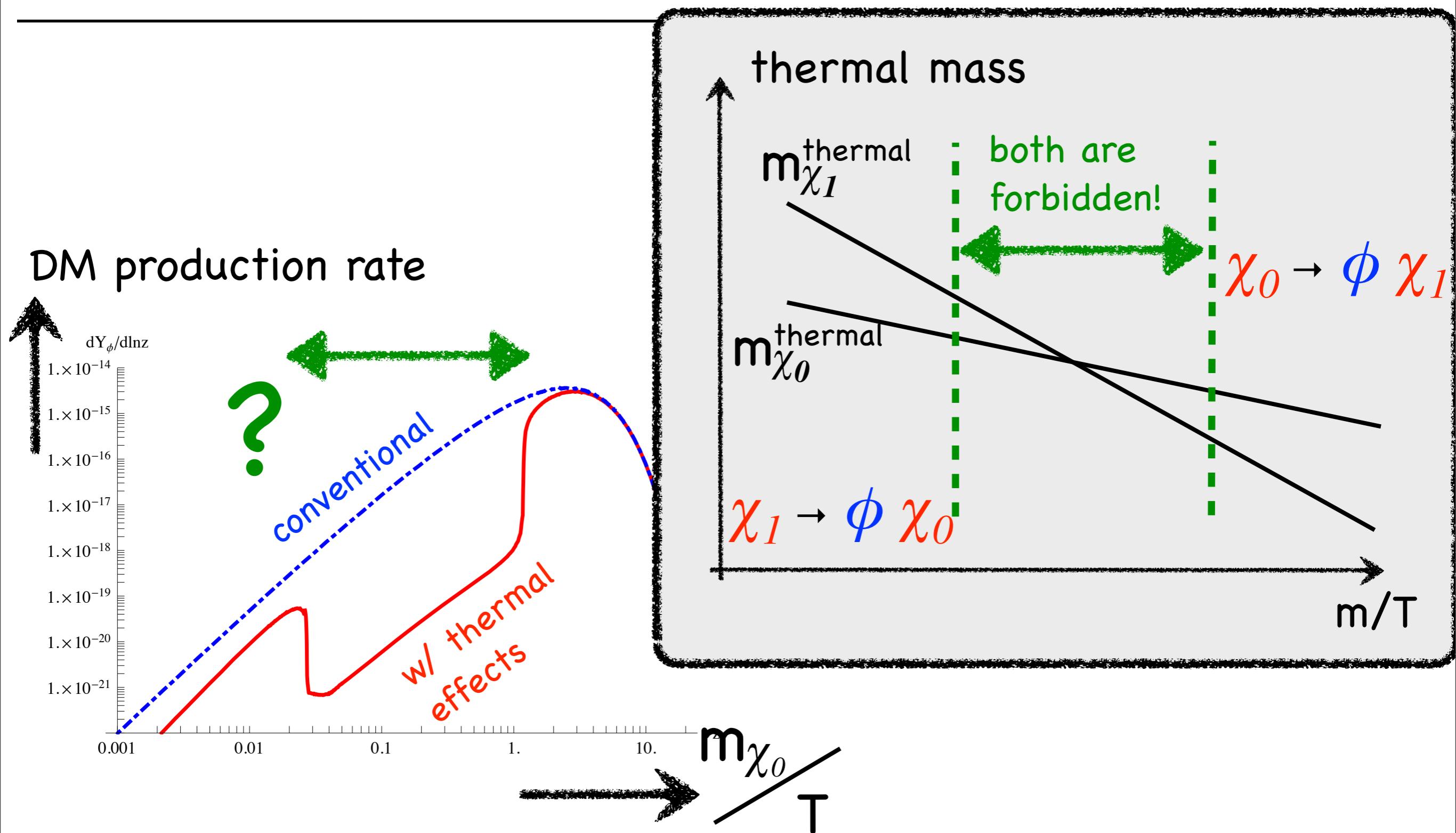
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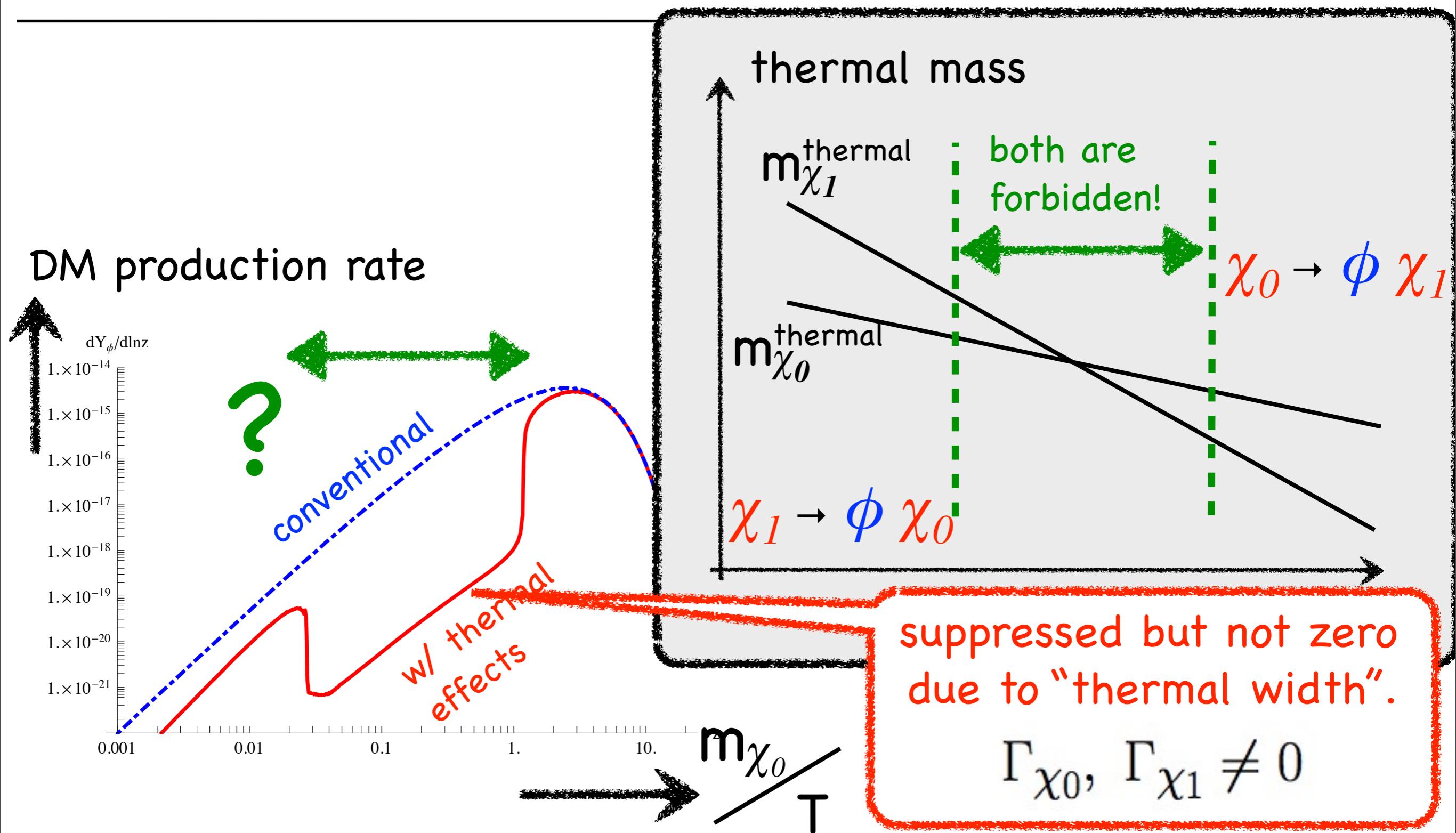
$$\left( \mathcal{L}_{\text{int}} = \varepsilon \phi \chi_0 \chi_1 - \frac{g_{\chi_0}^2}{4!} \chi_0^4 - \frac{g_{\chi_1}^2}{4!} \chi_1^4 \right)$$



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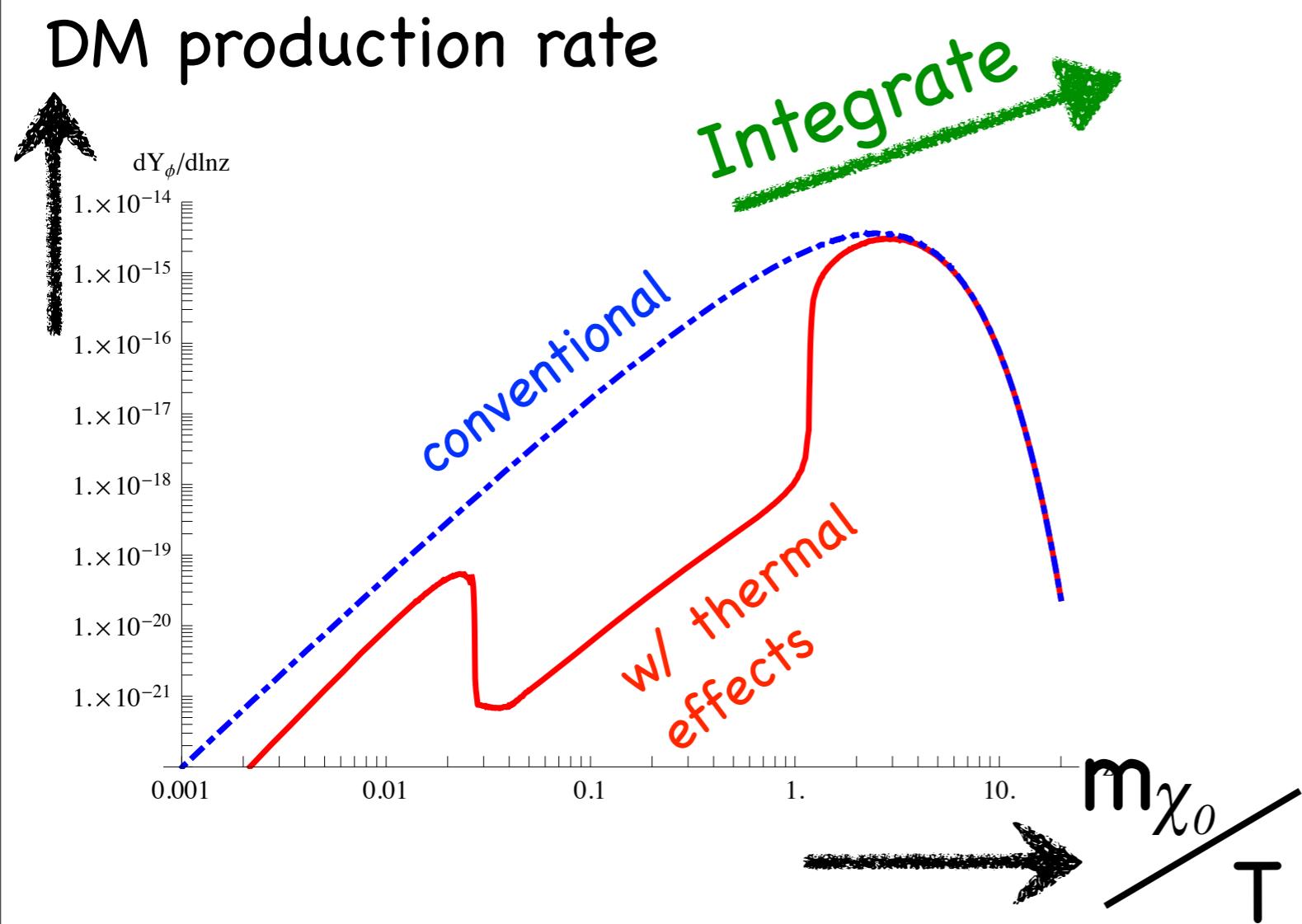
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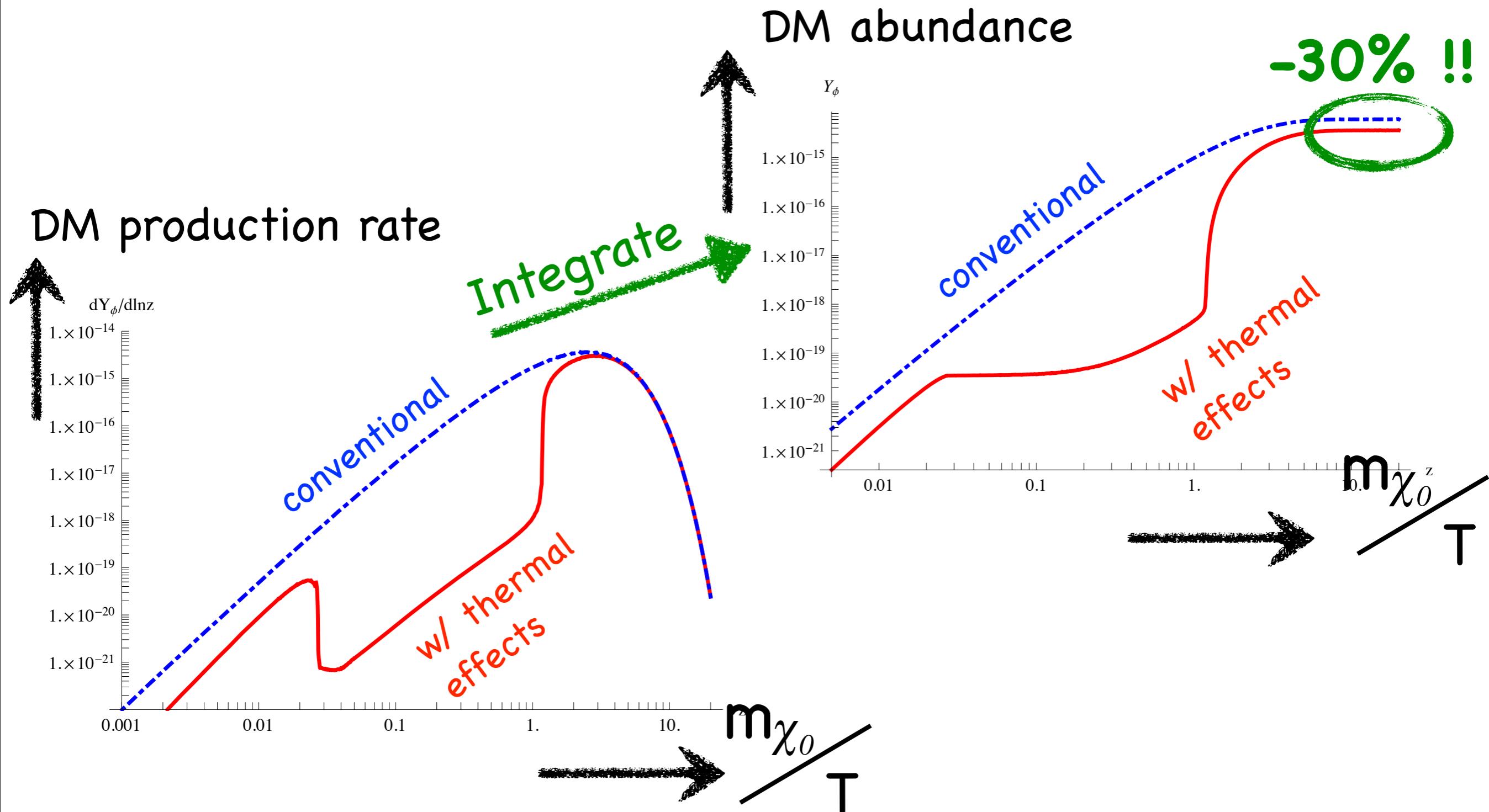
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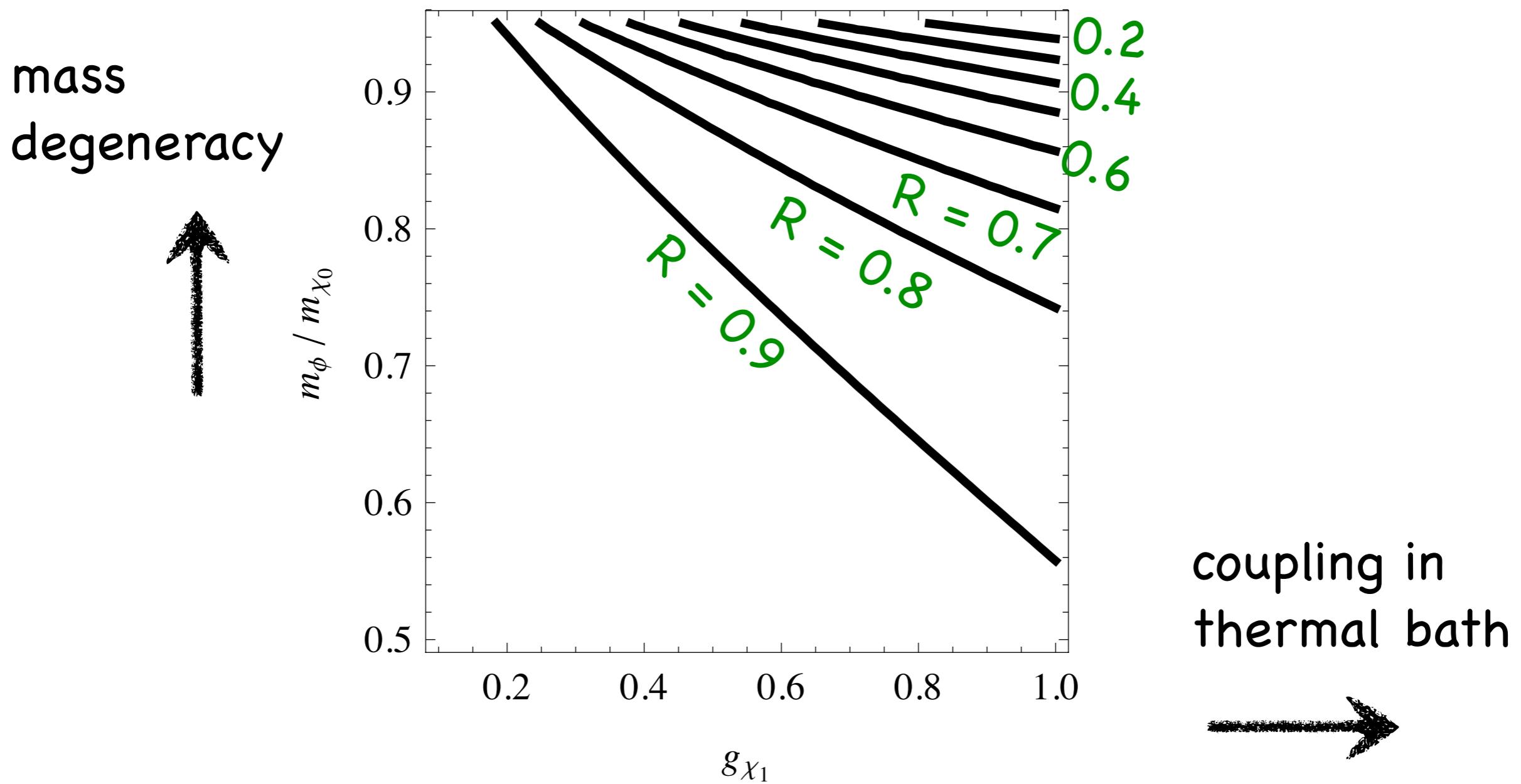
$$\left( \mathcal{L}_{\text{int}} = \varepsilon \phi \chi_0 \chi_1 - \frac{g_{\chi_0}^2}{4!} \chi_0^4 - \frac{g_{\chi_1}^2}{4!} \chi_1^4 \right)$$



$$\varepsilon/m_\phi = 10^{-13}, \ g_{\chi_0} = 0.1, \ m_{\chi_1} = 0 \quad \left( \mathcal{L}_{\text{int}} = \varepsilon \phi \chi_0 \chi_1 - \frac{g_{\chi_0}^2}{4!} \chi_0^4 - \frac{g_{\chi_1}^2}{4!} \chi_1^4 \right)$$


---

$$R \equiv \frac{Y_\phi}{Y_\phi(\text{conventional Boltzmann equation})} \Big|_{\text{now}}$$



**Comment: we have also shown that.....**

$$\dot{n}_\phi + 3Hn_\phi = \int \frac{d^3k}{(2\pi)^3 2\omega_{\phi,\mathbf{k}}} \int d^4x e^{i(\omega_{\phi,\mathbf{k}}t - \mathbf{k}\cdot\mathbf{x})} \frac{\text{tr} [e^{-H_X/T} \cdot \epsilon \hat{\chi}_0 \hat{\chi}_1(0) \cdot \epsilon \hat{\chi}_0 \hat{\chi}_1(x)]}{\text{tr} [e^{-H_X/T}]}$$

(new Boltzmann eq.)



in the limit of  
zero thermal width

$$\Gamma_{\chi_0}, \Gamma_{\chi_1} \rightarrow 0$$

**Comment: we have also shown that.....**

$$\dot{n}_\phi + 3Hn_\phi = \int \frac{d^3k}{(2\pi)^3 2\omega_{\phi,\mathbf{k}}} \int d^4x e^{i(\omega_{\phi,\mathbf{k}}t - \mathbf{k}\cdot\mathbf{x})} \frac{\text{tr} [e^{-H_X/T} \cdot \epsilon \hat{\chi}_0 \hat{\chi}_1(0) \cdot \epsilon \hat{\chi}_0 \hat{\chi}_1(x)]}{\text{tr} [e^{-H_X/T}]}$$

(new Boltzmann eq.)

in the limit of  
zero thermal width

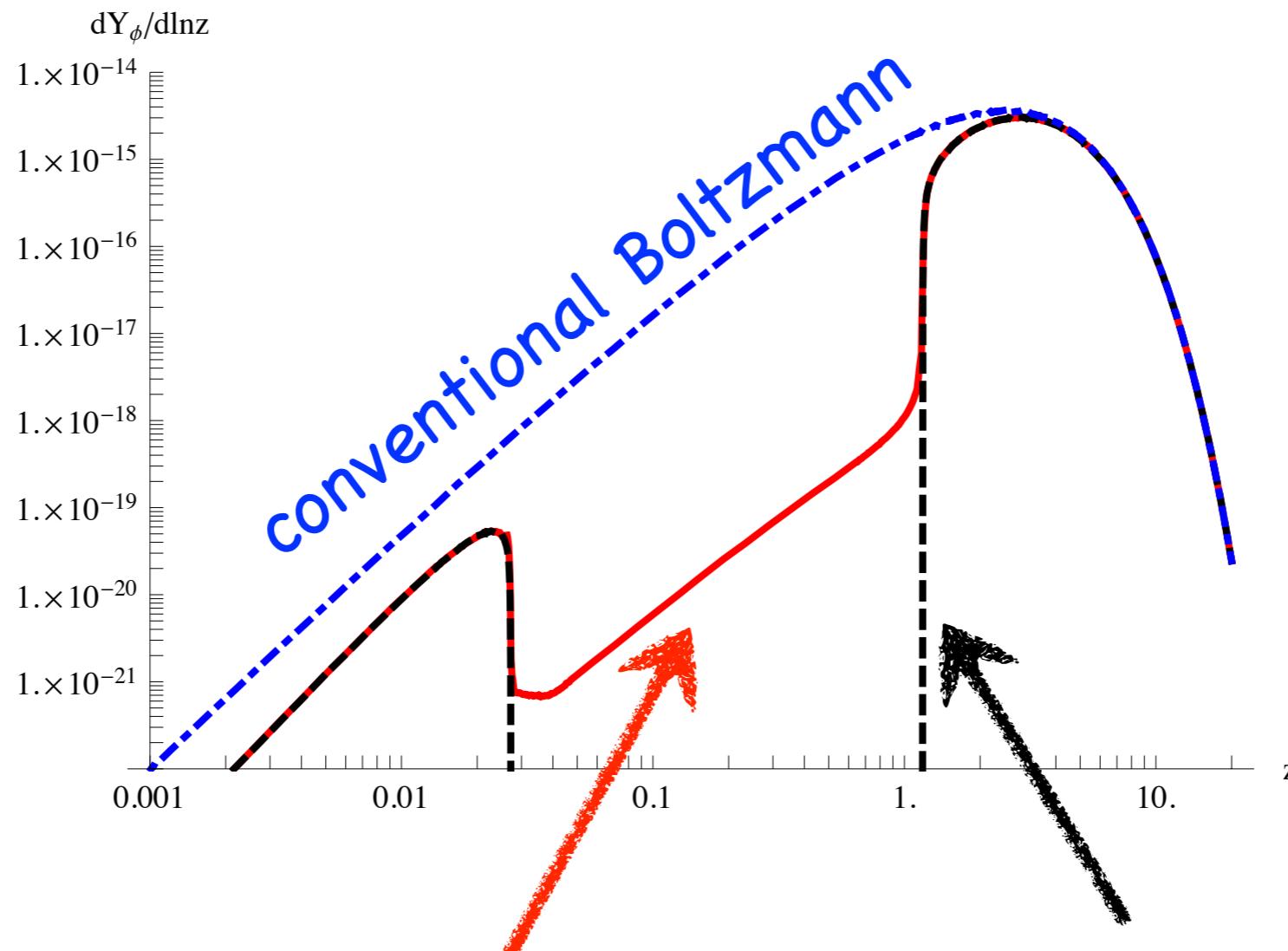
$$\Gamma_{\chi_0}, \Gamma_{\chi_1} \rightarrow 0$$

$$\dot{n}_\varphi + 3Hn_\varphi \simeq \int d\Pi (2\pi)^4 \delta(p_{\text{tot}}) |M(\chi_0 \rightarrow \varphi \chi_1) )|^2 f_{\chi_0} (1 + f_{\chi_1}) \dots$$

$$\text{where } d\Pi = \prod_{i=\chi_0, \varphi, \chi_1} \deg(i) \frac{d^3p}{(2\pi)^3 2\omega_{i,\mathbf{p}}}; \quad f_{\chi_i} = \frac{1}{e^{\omega_{i,\mathbf{p}}/T} - 1} \quad \omega_{i,\mathbf{p}} = \sqrt{m_i^2 + \mathbf{p}^2}$$

The Conventional Boltzmann eq. but with  
all the masses replaced with thermal masses !!

**Comment: we have also shown that.....**



new Boltzmann eq.  
(with thermal effects)

conventional Boltzmann eq.  
+ thermal masses

The “just thermal mass” approximation works well  
if kinematically allowed by thermal masses.

# SUMMARY

- We have studied the “thermal effects” on a non-thermal DM production scenario (“FIMP” scenario)
- We found that the “thermal effects” can significantly change the conventional picture, and the resultant DM abundance.

